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JC685 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

1994/00013

First Named Inventor or Application Identifier

Tetsuya Kondo et al.

Title

OPTICAL INFORMATION RECORDING  
MEDIUM, AND SUBSTRATE AND  
MANUFACTURING METHOD FOR THE  
OPTICAL INFORMATION RECORDING  
MEDIUM

Express Mail Label No.

**APPLICATION ELEMENTS**

ADDRESS TO:

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1. ☒ Filing fee as calculated below.
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **[62]**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Pages **[19]**]
4. Oath or Declaration [Total Pages **[4]**]
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- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
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- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
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1.63(d)(2) and 1.33(b)
5. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
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**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
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11. ☐ English Translation Document (if applicable)
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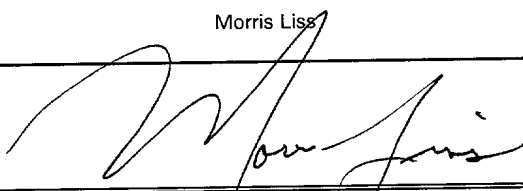
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# **Fee Calculation and Transmittal**

|   |         |           |    |      | SMALL ENTITY |       | OR |       | NON-SMALL ENTITY |  |
|---|---------|-----------|----|------|--------------|-------|----|-------|------------------|--|
| (Col 1)   | (Col 2) | (Col 3)   |    |      | RATE         | FEE   |    | RATE  | FEE              |  |
| NO. FILED   |         | NO. EXTRA |    |      |              |       |    |       |                  |  |
| TOTAL   | 24      | minus     | 20 | = 4  | x9=          | \$    |    | x18=  | \$72             |  |
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| Signature         |  |                                   | Date<br>10/26/00 |

# US PATENT APPLICATION

Title of Invention: OPTICAL INFORMATION RECORDING MEDIUM, AND  
SUBSTRATE AND MANUFACTURING METHOD FOR THE  
OPTICAL INFORMATION RECORDING MEDIUM

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VICTOR COMPANY OF JAPAN, LIMITED

# OPTICAL INFORMATION RECORDING MEDIUM, AND SUBSTRATE AND MANUFACTURING METHOD FOR THE OPTICAL INFORMATION RECORDING MEDIUM

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## BACKGROUND OF THE INVENTION

### Field of the Invention:

The present invention relates to an optical information recording medium being utilized for a recording and reproducing apparatus or a drive, which records an information in the optical information recording medium with moving the medium relatively and reads out the information from the medium, and a substrate and a manufacturing method for the optical information recording medium, particularly, relates to an optical information recording medium, which can be recorded and reproduced in a high density and a large capacity, and a substrate and a manufacturing method for the optical recording medium.

### Description of the Related Art:

Currently, there existed a system, which can optically record and reproduce information by using a disk shaped medium, as a system of an information recording medium for reading out information with moving the medium relatively. A disk system medium can be divided into several types such as a read only type or a ROM (read only memory) type, a recordable or a write once type or an "R" type and a rewritable type or a RW type. Generally, a recording density of a medium is high in a ROM type and low in "R" and RW types. For instance, in a DVD (digital versatile disk)

system, which utilizes a laser beam having a wavelength of 635 through 650 nm, introduced into the market in 1996, a read only type disk such as a DVD-ROM disk and a DVD-Video disk was introduced first. A recording capacity of a read only type DVD disk is 4.7 GB. On the other hand, a recording capacity of a rewritable type DVD disk such as a DVD-RAM (DVD-Random Access Memory) disk is 2.6 GB, that is, the recording capacity of a DVD-RAM disk is almost 55 % of that of a DVD-ROM disk. Researches and developments for increasing a recording capacity of a rewritable type disk are progressing. However, a system having a same capacity as that of a DVD-ROM disk has not been developed yet.

In the case of a rewritable type disk, a recording format on a disk and materials of recording medium are key technologies. In a DVD-RAM disk, a land-groove recording method, which is utilized for recording information on both land and groove of an optical information recording medium, has been used. In this method, an address necessary to recording and reproducing is recorded with cutting a land and a groove at each specific period of time.

Fig. 19 is a fragmentary plan view of a disk partially enlarging a microscopic construction 20, that is, a physical format construction of the land-groove recording method disk. In Fig. 19, the drawing shows an external appearance of the construction when the disk is not recorded and grooves 21a through 21c, hereinafter represented by the groove 21, are formed in parallel to each other. Lands 22a and 22b, hereinafter represented by the land 22, are allocated in between the grooves. An information is recorded on both the groove 21 and the land 22 when recording. A plurality of address pits 23a through 23n, which is necessary to

recording and reproducing, is formed by cutting the groove 21 and land 22. The addresses occupy an area 24 in conjunction with an accompanied signal, so that the area 24 prevents a total capacity of a disk from increasing. In other words, a limited area of a disk  
5 can not be effectively utilized because of the area 24.

Further, with respect to recording material, in consideration of interchangeability with a read only type DVD disk drive, a phase change recording method, which does not utilize a magnetic head, is suitable. However, this method is defective in reflectivity,  
10 which is much inferior to a read only type disk or a write once type disk of utilizing dye. Accordingly, the low reflectivity results in that a recording capacity can not be increased.

By combining a microscopic construction or a physical format construction with a phase change material for high density  
15 recording and by optimizing them, a recording capacity equivalent to that of a read only type DVD disk can be realized.

An optical disk, which records addresses with scattering over a disk without having an inherent address area such as the area 24 shown in Fig. 19, is considered as a format for a large capacity  
20 optical disk. In other words, an address area such as the area 24 is not provided, so that a recording capacity can be increased as many as that of a DVD-ROM disk. However, in a case that a main recording signal is recorded in a vicinity area of an address signal, the main recording signal may interfere in the address signal and  
25 an error may occur by this method, and then rewriting can not be performed any more. Conversely, the address signal may leak into and interfere in the main recording signal and result in a reading out error.

## SUMMARY OF THE INVENTION

Accordingly, in consideration of the above-mentioned problems of the prior art, an object of the present invention is to  
5 provide an optical information recording medium of a high density phase change type, that is, a recording disk, which can record and reproduce a main recording signal and an address signal without interfering in each other, particularly, to indicate an output range of an address signal for realizing the object and a dimension of a  
10 microscopic construction of such the address signal in detail.

Further, the high density phase change type medium is not limited to a DVD disk. Accordingly, dimensions of a microscopic construction are indicated in a general equation for being applied to a recording and reproducing apparatus, under developing,  
15 which utilizes a laser beam having a shorter wavelength.

Furthermore, another object of the present invention is to provide an optical information recording disk of a high density phase change type or a recording disk comprising both a recording/reproducing area and another area having a pit array  
20 for preventing the disk from illegal duplication and an address signal mentioned above so as to be able to record and reproduce with minimizing relative interference between a main recording signal and an address signal, moreover, so as to indicate a performance range of an address signal, wherein the address  
25 signal can be read out properly when the recording disk is not recorded, and a dimension of microscopic construction of the address signal in detail.

In order to achieve the above object, the present invention

provides, according to a first aspect thereof, an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves; a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and a resin layer formed over said recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in the area is within a range of 0.18 to 0.27.

According to a second aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in the area is within a range of 0.18 to 0.27.

According to a third aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves of which a track pitch TP is  $0.74\ \mu\text{m}$ ; a recording layer having reflectivity of 18 through 30 % being composed of at least a



rewritable phase change material; and a resin layer formed over the recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal by using a pickup having a wavelength of a laser beam of 650 nm and a numerical aperture of 0.6 under a not recorded condition in the area is within a range of 0.18 to 0.27.

According to a fourth aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays; a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in the area is more than 0.3.

According to a fifth aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in

the area is more than 0.3.

According to a sixth aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays of which a track pitch TP2 is  $0.74 \mu\text{m}$ ; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal by using a pickup having a wavelength of a laser beam of 650 nm and a numerical aperture of 0.6 under a not recorded condition in the area is more than 0.3.

According to a seventh aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves; a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL, a readout wavelength  $\lambda$  and a substrate refractive index "n" in said area such as  $0.05 \lambda / n \leq d \leq 0.1 \lambda / n$ ,  $0.35 \leq w / TP \leq 0.55$ ,  $0.18 < 0.14k + 4.11 n(d - 26) / \lambda < 0.27$  and  $k = AL / ML$ .

According to an eighth aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL, a readout wavelength  $\lambda$  and a substrate refractive index "n" in the area such as  $0.05 \lambda / n \leq d \leq 0.1 \lambda / n$ ,  $0.35 \leq w / TP \leq 0.55$ ,  $0.18 < 0.14k + 4.11 n(d - 26) / \lambda < 0.27$  and  $k = AL / ML$ .

According to a ninth aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL in the area such as  $TP = 0.74 \mu m$ ,  $20 \leq d \leq 41 nm$ ,  $0.26 \leq w \leq 0.41 \mu m$  and  $35AL + d < 53$ .

According to a tenth aspect of the present invention, there

provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays; a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2, a readout wavelength  $\lambda$  and a substrate refractive index "n" in the area such as  $0.05 \lambda / n \leq d2 \leq 0.1 \lambda / n$ ,  $0.35 \leq w2 / TP2 \leq 0.55$ ,  $0.18 < 0.14k + 4.11 n(d2 - 26) / \lambda < 0.27$  and  $k = AL2 / ML$ .

According to an eleventh aspect of the present invention, there provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2, a readout wavelength  $\lambda$  and a substrate refractive index "n" in the area such as  $0.05 \lambda / n \leq d2 \leq 0.1 \lambda / n$ ,  $0.35 \leq w2 / TP2 \leq 0.55$ ,  $0.18 < 0.14k + 4.11 n(d2 - 26) / \lambda < 0.27$  and  $k = AL2 / ML$ .

According to a twelfth aspect of the present invention, there

provided an optical information recording medium comprising: a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays; a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and a resin layer formed over the recording layer, the substrate is further characterized in that the area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2 in the area such as  $TP2 = 0.74 \mu m$ ,  $20 \leq d2 \leq 41 \text{ nm}$ ,  $0.26 \leq w2 \leq 0.41 \mu m$  and  $44 < 35AL2 + d2 < 53$ .

According to a thirteenth aspect of the present invention, there provided a substrate for an optical information recording medium, which is formed with a first area having sinusoidally deflected grooves and address pits scattered and allocated between the grooves together with a second area having sinusoidally deflected pit arrays and address pits scattered and allocated between the pit arrays, the substrate is further characterized in that the first area is provided with a first microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP and an address pit length AL in the first area such as  $TP = 0.74 \mu m$ ,  $20 \leq d \leq 41 \text{ nm}$ ,  $0.26 \leq w \leq 0.41 \mu m$  and  $44 < 35AL + d < 53$ , and that the second area is provided with a second microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit track pitch TP2 and an address pit length AL2 in the first

area such as  $TP2 = 0.74 \mu m$ ,  $20 \leq d2 \leq 41 nm$ ,  $0.26 \leq w2 \leq 0.41 \mu m$  and  $44 < 35AL2 + d2 < 53$ .

According to a fourteenth aspect of the present invention, there provided a manufacturing method for an optical information recording medium comprising steps of: vacuum filming a recording layer being composed of at least a rewritable phase change material on a substrate; and adhering a dummy substrate on said recording layer with sandwiching a resin layer between the recording layer and dummy substrate.

Other object and further features of the present invention will be apparent from the following detailed description when read in conjunction with the accompanying drawings.

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## BRIEF DESCRIPTION OF DRAWINGS

Fig. 1 is a perspective view of an optical information recording medium according to an embodiment of the present invention.

Fig. 2 is a fragmentary plan view of a microscopic construction or a physical format of the optical information recording medium partially enlarged according to the embodiment of the present invention.

Fig. 3 is another fragmentary plan view of a physical format of the optical information recording medium partially enlarged according to the embodiment of the present invention.

Fig. 4 shows a relation between a depth (d) of a groove and an output (PPb) of a push-pull signal.

Fig. 5 shows a jitter value measured in response to a depth and a width of the groove

Fig. 6 shows a model of a four-division detector.

Fig. 7 shows a reproduced waveform in a state of not  
5 recorded.

Fig. 8 shows a reproduced waveform in a recorded state.

Fig. 9 shows a measured error rate of an address pit.

Fig. 10 shows a measured error rate of a recorded mark.

Fig. 11 shows a relationship between an output value (APb)  
10 of an address pit and "k", wherein the "k" is obtained by such that  
an address pit length (AL) divided by a recorded mark length  
(ML).

Fig. 12 shows a relationship between an address pit length  
(AL) and an output value (APb) of an address pit.

Fig. 13 shows a relationship between an output value (APb)  
15 of an address pit and "k", wherein the "k" is obtained by such that  
an address pit length (AL) divided by a recorded mark length  
(ML).

Fig. 14 is a cross sectional view of the optical disk shown in  
20 Fig. 1.

Fig. 15 is a cross sectional view of an optical disk according  
to the first and a third and a fourth embodiments of the present  
invention.

Fig. 16 is a cross sectional view of an optical disk according  
25 to a second embodiment of the present invention.

Fig. 17 shows a physical construction format as one example  
of an address information.

Fig. 18 is a flow chart of a manufacturing method of an

optical information recording medium according to the present invention.

Fig. 19 is a fragmentary plan view of a microscopic construction or a physical format of a land-groove type disk partially enlarged according to the prior art.

Fig. 20 is a plan view of an optical information recording medium according to an embodiment of the present invention.

Fig. 21 is a fragmentary plan view of a microscopic construction or a physical format of the optical information recording medium partially enlarged according to the embodiment of the present invention.

Fig. 22 shows a reproduced waveform at a microscopic construction in a state of not recorded.

Fig. 23 shows a measured error rate of an address pit.

Fig. 24 is a cross sectional view of an optical disk according to a fifth embodiment of the present invention.

Fig. 25 is a plan view of an optical information recording medium according to the fourth and fifth embodiments of the present invention.

Fig. 26 is a flow chart of the manufacturing method of the optical disk according to the first through fourth embodiments.

Fig. 27 is a flow chart of the manufacturing method of the optical disk according to the fifth embodiment.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention establishes a method, which is valid in



a system utilizing a laser beam having a shorter wavelength of less than 600 nm, in conjunction with realizing a rewritable type optical disk having a higher recording capacity, that is, 4.7 GB  
5 utilizing a laser beam for recording and reproducing having a wavelength of 635 through 650 nm.

Prior to depicting each embodiment of the present invention, details common to each embodiment are explained first.

Fig. 1 is a perspective view of an optical disk as an optical  
10 information recording medium according to an embodiment of the present invention.

Fig. 14 is a cross sectional view of the optical disk shown in Fig. 1.

In Fig. 1, an optical disk 1 is a type of optical disk, which is  
15 recorded with information only in a groove 11. The groove 11 as an information track and an address pit, not shown, are embedded in the optical disk 1 coaxially or spirally and they form a microscopic construction 10. A cross sectional view of the optical disk 1 is shown in Fig. 14.

Fig. 14 shows a fundamental construction of an optical disk  
20 according to the embodiment of the present invention. In Fig. 14, the optical disk 1 comprises a substrate 2, a recording layer 3 and a resin layer 4 and they are laminated in order. Recording and reproducing information in the optical disk 1 by a light beam is  
25 performed toward the recording layer 3. It is defined arbitrarily that a laser beam having a wavelength of  $\lambda$  nm, which is stopped down by a objective lens having a numerical aperture of NA, is irradiated on the recording layer 3 from either side of the optical

disk 1. In other words, it is arbitrarily defined whether the laser beam is irradiated on a surface of the substrate 2 or the resin layer 4. A path for an incident light, that is, a light path has a certain refractive index "n" to the wavelength  $\lambda$  and an effective optical length of the light path is defined by the refractive index "n". In Fig. 14, the substrate 2 is illustrated as a light path for one example. The microscopic construction 10 including the groove 11 is embedded inside of the optical disk 1, actually formed on a surface of the substrate 2. The substrate 2 and the recording layer 3 are formed in parallel to each other.

Fig. 2 is a fragmentary plan view of a microscopic construction or a physical format of the optical disk 1 shown in Fig. 1, partially enlarged, and shows typically a state of that the optical disk 1 is not recorded, wherein the microscopic construction 10 is a physical format of the optical disk 1. In Fig. 2, the microscopic construction 10 comprises a plurality of grooves 11a through 11d, hereinafter represented by a groove 11, a plurality of lands 12a through 12c, hereinafter represented by a land 12 and a plurality of address pits 13a and 13b, hereinafter represented by an address pit 13. Further, a width of each groove 11 is "w" and each groove 11 is allocated with keeping a space of track pitch TP to an adjoining groove and a length of the address pit 13 is AL. The grooves 11a through 11d are formed on the substrate 2 approximately in parallel to each other. Each groove 11 is deflected by a frequency of integral multiples of a sync-frame frequency of a whole system so as to extract a clock frequency, and formed as a sinusoidal waveform. The waveform can be in synchronism with or asynchronism with adjacent grooves.

The address pit 13 is formed with being scattered in the lands 12a through 12c between the grooves 11a through 11d and loaded with an address information. In other words, the address pit 13 is previously embedded in the substrate 2 so as to bridge adjoining tracks in an "I" shape. The groove 11 sinusoidally deflected and the address pit 13 allocated with scattering between the grooves is formed in a same depth. Since the address pit 13 bridges the adjoining grooves, the address pit 13 can be read out while using either one of adjoining grooves. In other words, it is defined arbitrarily whether an address of a groove is defined by an inner circumference area or an outer circumference area of the groove. The address pit 13 is allocated at a position, wherein the sinusoidal groove 11 is deflected maximally, that is, within  $\pm 10$  degrees at a peak point of a sinusoidal waveform.

An address information is recorded in accordance with a distance between each address pit 13. Accordingly, the length AL of the address pit 13 itself is kept constant.

Fig. 17 shows a physical construction format as one example of an address information.

In Fig. 17, a sync-bit or a synchronous signal is allocated at a head of the format and followed by a relative address data and an error correction code (ECC) block address data. The format is composed of, for example, one bit of the sync-bit, 4 bits of the relative address data and 8 bits of the ECC block address data.

Fig. 3 is another fragmentary plan view of a physical format of the optical disk 1 shown in Fig. 1, partially enlarged, and shows typically a state of that the optical disk 1 is recorded. In Fig. 3, a configuration of the microscopic construction 10 is basically a

same as that of Fig. 2. However, a recording mark 14 having a length of ML is recorded in the groove 11 in defective. The recording mark 14 is a phase change recording, that is, recorded by changing of a material of the recording layer 3 such that a state of not recorded is a nature of crystalline and a state of recording is a nature of amorphous, for example. The recording mark 14 can be reproduced by utilizing a high reflectivity in crystalline and a low reflectivity in amorphous. On the contrary, it can be recorded such that the state of not recorded is in a low reflectivity and the state of recorded is in a high reflectivity by selecting a material.

The recording mark 14 is a modulated signal composed of a digital code commonly known and is a signal having a mark length ML of integral multiples of a channel bit "T". Accordingly, all signals of which a shortest mark length is assigned to 2T, 3T, 4T or 5T can be handled as a same manner as an optical disk commonly available. For example, in a signal system assigning a shortest mark length to 3T, a signal system composed of signals of 3T through 11T such as 8-14 modulation, 8-15 modulation and 8-17 modulation and another signal system composed of signals of 3T through 11T such as 8-16 modulation and a further signal system composed of a 14T signal can be handled.

In the optical disk 1 of the embodiment of the present invention, as mentioned above, the address pit 13 is recorded with being scattered on the land 12. An inherent area 24 shown in Fig. 19 for the land-groove method is not provided, so that an area utilizing efficiency of the optical disk 1 is superior to that of a disk of the land-groove method. Further, the recording mark 14 is recorded in the groove 11, so that the construction of the optical

disk 1 is low in interference with the address pit 13 on the land 12. However, in some cases, the address pit 13 is adjacent to the recording mark 14 as shown in Fig. 3, so that it is necessary to pay attention to readability of the address pit 13 and the recording mark 14 after recorded in adjacent to each other.

With respect to a material of the recording layer 2 utilized for the optical disk 1 of the present invention, a material of phase change such that a reflectivity of the recording layer 2 is more than 15 % is suitable, preferably, more than 18 % of high reflectivity is more suitable. Particularly, an alloy including antimony, tellurium and a metal having a melting point of less than 1100 degrees centigrade, and having a high contrast of reflectivity between before recording and after recorded is suitable for the material of the recording layer 2. As for a material having a practical recording sensitivity and signal characteristics such as degree of modulation, reflectivity, jitter and a number of rewritable possibilities, a material including antimony and tellurium as essential components and further including at least one of gold, silver, copper, indium, aluminum and germanium is desirable. Particularly, silver-indium-antimony-tellurium (AgInSbTe) alloy, copper-aluminum-tellurium-antimony (CuAlTeSb) alloy, silver-germanium-antimony-tellurium (AgGeSbTe) alloy and gold-germanium-antimony-tellurium (AuGeSbTe) alloy are most desirable materials.

Dimensions are defined for a purpose of explaining recording/reproducing performances, which will be described hereafter. In Fig. 2, a distance between center lines of one groove and its adjoining groove is defined as a track pitch "TP", wherein

grooves 11a through 11d are sinusoidally deflected, and a width of the groove 11 itself is defined as "w" and a length of the address pit 13 is defined as "AL". The address pit 13 is driven into approximately a center of the land 12, so that a space between centerlines of the address pit 13 and the groove 11 is approximately 2/TP, not shown. Further, the groove 11 and the address pit 13 are engraved on the substrate 2 in a same depth respectively and the depth is "d", not shown. Furthermore, in Fig. 3, a length of the recording mark 14 after recorded, varies by modulation. However, a shortest mark length is defined as "ML".

By using phase change materials for high density recording mentioned above, trial optical disks having various dimensions (TP, d, w, ML and AL) of microscopic construction are manufactured and their recording/reproducing characteristics are evaluated. According to the evaluations, a most suitable numerical range of address output and dimensional range of the microscopic construction of the optical disk 1 of the embodiment of the present invention can be obtained. In addition thereto, an actual length of "TP" and "ML" are assumed to be approximately 60 to 70 % and 35 to 45 % of a reproduction spot diameter  $\lambda/NA$  of a laser beam respectively for an optical disk and its drive explained as the embodiment of the present invention.

Results of evaluation on trial optical disks for recording/reproducing characteristics are as follows:

(1) Tracking Performance of a Disk not recorded

In a case of a disk after recorded, as shown in Fig. 3, the recording mark 14 having a different reflectivity is formed in the groove 11, so that various methods can be applied to a tracking

method of a disk recorded. For example, the DPD (Differential Phase Detection) and the DPP (Differential Push-Pull) tracking methods are available. However, in a case of a disk not recorded, as shown in Fig. 2, no recording mark is formed in the groove 11. Accordingly, only the push-pull method can be applied to a tracking method of a disk not recorded actually.

A relationship between the depth "d" of the groove 11 and a push-pull signal output PPb is examined and indicated in Fig. 4, wherein the relationship is measured over a range from 0.35 to 0.55 of the ratio of the groove width "w" to the track pitch TP (w/TP). As shown in Fig. 4, the shallower the depth "d" is, the smaller the PPb is. The PPb is maximized at  $d = 0.125 \lambda / n$ , wherein "n" is a refractive index of a light path. The tracking itself is stable even at a smaller PPb. A limit of being out of tracking is actually examined for a phase change type disk 1 having scattered address pits according to the present invention. The limit is  $PPb = 0.22$ . The tracking is stable in an area where PPb is more than 0.22. In other words, it is necessary for the depth "d" to satisfy an equation  $d \geq 0.05 \lambda / n$ .

## (2) Reproduction Performance of Recording Mark

A jitter is one of indexes representing readout performance of the recording mark 14. While reproducing after recorded, a fluctuation in a time axis direction or a standard deviation divided by a clock frequency is a jitter. The smaller a jitter value is, the more stable a reproduction is. According to the DVD standard, a jitter value is prescribed to not more than 8.0 % after passing through an equalizer.

Fig. 5 shows a jitter value measured in response to a depth

and a width of the groove, in a case of 5 tracks and 10 times over written. In Fig. 5, a groove width is represented by  $w/TP$ , which is a value of prescribing the width "w" to the track pitch TP. As shown in Fig. 5, the shallower the groove depth "d" is, the better a jitter is obtained. The reason is that the shallower the groove depth "d" is, the higher a reflectivity and a modulation factor of signal can be obtained, and then base noise decreases relatively. An effect of  $w/TP$  on a jitter is relatively low.

In order to obtain a jitter of not more than 8.0 %, it is necessary for the depth "d" to satisfy an inequality  $d \leq 0.1 \lambda/n$  although it depends upon a groove width. Further, it is also necessary for the  $w/TP$  to satisfy an inequality  $0.35 \leq (w/TP) \leq 0.55$ . In addition thereto, the address pit 13 is formed as an "I" shape against each groove, so that a width of the address pit 13 is assigned to be a value from 0.65 to 0.45 in proportion to the TP.

### (3) Reproduction Performance of Address Pit and Interference with the Address Pit by Recording Mark

A pickup installed in a reproducing apparatus such as a DVD player is equipped with a 4 division photo detector. The 4 division photo detector can effectively produce an address signal by arithmetically calculating such as adding, subtracting, multiplying and dividing four output from each segment of the 4 division photo detector.

Fig. 6 shows a typical 4 division photo detector 9 mentioned above. In Fig. 6, a vertical axis and a horizontal axis are defined as a radial direction and a tangential direction or a track direction respectively with corresponding to Figs. 2 and 3. Four reproduction output of the 4 division photo detector 9 are assigned



as Ia, Ib, Ic and Id respectively. The Ia and Ib are allocated in an innermost circumference area and the Ic and Id are allocated in an outermost circumference area with corresponding to Figs. 2 and 3. The address pit 13 can be reproduced in high contrast by  
5 composing output as an equation  $(Ia + Ib) - (Ic + Id)$ .

Fig. 7 shows a reproduced waveform in a state of not recorded.

Fig. 8 shows a reproduced waveform in a recorded state.

In Fig. 7, the waveform is reproduced with superimposing a  
10 waveform of the address pit 13 with a waveform of the groove 11 sinusoidally deflected. As shown in Fig. 7, the address pit 13 can be detected as a pulse projected from the total waveform, so that an address can be read out. Accordingly, a standardized value in response to a height of the pulse can be defined as an output of an  
15 address pit in a not recorded state. Actually, a number of dividing an absolute value of  $(Ia + Ib) - (Ic + Id)$  by a total output of a 4 division photo detector, that is,  $(Ia + Ib + Ic + Id)$  is defined as an address pit output (APb) in a not recorded state. The APb means a value of address pit signal component occupying in a reproduced  
20 signal in a not recorded state. In other words,

$$APb = |(Ia + Ib) - (Ic + Id)| / |(Ia + Ib + Ic + Id)|$$

In a case of measuring more accurately, a filter is desired to be inserted so as to eliminate various noise components. In a case of measuring an absolute value of  $(Ia + Ib + Ic + Id)$ , for example, a  
25 low pass filter having a cut off frequency of 30 kHz shall be inserted. In a case of measuring an absolute value of  $(Ia + Ib) - (Ic + Id)$ , an amplifier having a frequency range of more than 20MHz is desired to be used.

An address pit output value is obtained by a diffraction of the address pit 13, so that the address pit output value closely depends upon the depth "d" and the length AL of the address pit 13. An address pit output value APb is hardly read out if the output value is too small. Accordingly, an error rate is apt to increase.

In Fig. 8, a signal of the recording mark 14 recorded in the groove 11 is written over the waveform shown in Fig. 7. Since the signal of the recording mark 14 is superimposed on the groove 11 as if noise, it severely affects reading out the address pit 13, wherein "APs" is an output of an address pit after recorded and "Δ" is an aperture, which is not affected by the recorded mark. In other words, although an address pit can be decoded in a not recorded state, in some cases, it may not be decoded after recorded.

An optical disk produced under various combinations of the "d" and AL is measured up an error rate of an address pit before the optical disk is recorded. After the measurement, the groove 11 of the optical disk is recorded at random, and then an error rate of an address pit is measured once again, wherein an error rate of less than 5 % after recorded is a condition of reliability.

Fig. 9 shows a measured error rate of an address pit, wherein a horizontal axis is an address pit output value APb and a vertical axis is a block error rate BER measured up more than 1000 ECC blocks. An error rate before recording (BER-b) and an error rate after recorded (BER-a) are plotted together. The larger an address pit output value APb is, the easier an address pit can be read out and the smaller an error rate is. In comparison with before recording and after recorded, an address pit is easily read out

before recording. However, an error is apt to happen while reading out after recorded. It is caused by that an address pit signal is easy to be interfered, so that enough APb value is required. According to facts mentioned above, in order to ensure that an error rate is less than 5 % after recorded, it is necessary for an address pit output value APb to be more than 0.18. In addition thereto, with minutely analyzing a state in 5 % of error rate, it is commonly observed that an RF signal is superimposed and an aperture ratio of an address pit in Fig. 8, that is,  $\Delta/APs$  shown in Fig. 8 is only 10 %. In other words, the  $\Delta/APs$  is necessary to be more than 10 %.

#### (4) Interference with Recording Marker by Address Pit

The address pit 13 partially contacts with the groove 11, so that it is conceivable that the address pit 13 interferes with reproduction of the recording mark after recorded. Thus, the recording mark 14 of an optical disk having various address pit output values (APb) is read out and a number of errors is measured.

Fig. 10 shows a measured error rate of an recording mark, wherein a horizontal axis is an address pit output value APb and a vertical axis is a number of PI errors, which is a number of block arrays failed in more than one byte for continuing 8 ECC blocks. As shown in Fig. 10, the PI error suddenly increases at a certain APb value. It is conceivable that diffraction light of the address pit 13 interferes with the recording mark 14 and a reading out error occurs. For example, according to the DVD standard, a number of PI error is required to be less than 280, so that an address pit output value APb shall be less than 0.27.

(5) Dimensions of Microscopic Construction for obtaining desired Address Pit Output Value APb

As mentioned above, a small TP and a shortest mark length ML are assumed for a reproduction spot diameter  $\lambda/NA$  of a laser beam in an optical disk and its drive according to the present invention. Further, as examined in paragraphs (1) and (2) above, a depth "d" of a groove is assumed to be sufficiently shallower than a reproduction wavelength of a laser beam. Conditions of the AL and the "d" are examined to obtain a desired address pit output value APb under above-mentioned conditions.

In consideration of each coherence of AL and ML, the AL and ML are supposed to be relatively in a same order of dimension, so that they are assumed to be  $k = AL/ML$ . Relationship between the "k" and an address pit output value APb and relationship between the "d" and an APb are examined. According to the examination, it becomes clear that the larger the "d" becomes and the larger the "k" is, the larger the APb becomes. The APb can be actually expressed by a following equation:  $APb = 0.14 k + 4.11 n(d-26)/\lambda$ .

As mentioned above, an address pit output APb, which does not affect an actual operation of a drive for recording and reproducing, is obtained and further dimensions such as TP, "d", "w" and "k" of various microscopic constructions are examined. Examinations mentioned in paragraphs (1) through (5) are summarized as follows.

A range of an address pit output APb, which is an address pit signal component occupying in reproduction signals in a state of not recorded, is  $0.18 < APb < 0.27$ .

Dimensions of various microscopic constructions are "d", "w"

and "k", which satisfy following relationships simultaneously.

$$0.05 \lambda/n \leq d \leq 0.1 \lambda/n,$$

$$0.35 \leq (w/TP) \leq 0.55 \text{ and}$$

$$0.18 < 0.14k + 4.11 n(d - 26) / \lambda < 0.27$$

5        The optical disk 1 having an address pit output in accordance with the present invention can be recorded and reproduced excellently with suppressing interactive interference between the recording mark 14 in a groove and the address pit 13 minimally. Further, the substrate 2 having the dimensions of microscopic  
10 construction in accordance with the present invention and the optical disk composed of the microscopic construction can minimize reproduction interference between the recording mark 14 and the address pit 13.

15        The present invention specifies the dimensions of a microscopic construction of the substrate 2 while manufacturing such the optical disk 1, so that stable manufacturing and supplying of the optical disk 1 can be maintained. An actual manufacturing method of the optical disk 1 in accordance with the present invention is depicted next with referring to Fig. 18.

20        Fig. 18 is a flow chart of a manufacturing method of an optical disk according to the present invention.

25        In Fig. 18, a mastering process is applied to a blank master or a resist disk made of glass, which is commonly known, by a laser beam recorder (LBR) and the microscopic construction according to the present invention is formed on the blank master (Step 1001). With respect to the LBR, a recorder equipped with a laser having a wavelength such as 458, 442, 413, 407, 364, 351, 325, 275, 266, 257 and 244 nm is desired. Further, a 2 beam

mastering method by a master beam and a sub beam is effective. The master beam is applied to forming the groove 11 and the sub beam is applied to forming the address pit 13. Furthermore, the master beam is passed through a deflection device such as EOD  
5 (Electro-optic Deflector) and AOD (Acousto-optic Deflector) so as for the master beam to be sinusoidally deflected and the sub beam is passed through a modulator such as an EOM (Electro-optic Modulator) and an AOM (Acousto-optic Modulator) so as for the sub beam to be intermittently modulated. In this mastering  
10 method by 2 beams, a positioning accuracy is insufficient if a mastering is performed individually by each beam. Therefore, it is desirable that the mastering is performed simultaneously by 2 beams. In this case, it is necessary for a distance between the master beam and the sub beam to be  $TP/2$ . In addition thereto, a  
15 shape of groove does not appear in this Step 1001 although a latent image is recorded on the blank master.

The blank master recorded with an image is processed by the alkaline development, commonly known, and the mastering image is converted into an uneven surface (Step 1002). A shape of the  
20 uneven surface has approximately a same as the microscopic construction 10 of the substrate 2. The blank master processed by the alkaline development is hereinafter called a glass master. The making stamper process such as the conductive process and the electroforming process, commonly known, is applied to the glass  
25 master, and then a stamper is made (Step 1003). The stamper has a microscopic construction approximately in reverse to that of the substrate 2.

By using the stamper obtained through processes mentioned

above, the substrate 2 is composed by the commonly known forming process (Step 1004). With respect to a material of the substrate 2, such synthetic resins as polycarbonate resin, polysulfone resin, polyphenylene oxide resin, polystyrene resin, polynorbornen resin, poly-methacrylic resin, polymethyl pentene resin, various copolymer and block copolymer having resin skeleton of above-mentioned resins can be utilized. In a case of utilizing the substrate 2 as a light path, optical characteristics such as a refractive index "n" and birefringence of the substrate 2 shall be considered. By assigning the refractive index "n" to 1.45 through 1.65 and the birefringence to less than 100 nm per double paths, for example, interchangeability with a DVD disk can be maintained properly.

Then the recording layer 3 is filmed over the substrate 2. Actually, the recording layer 3 is filmed over the microscopic construction 10 (Step 1005). A phase change material, which is a main component of the recording layer 3, is already mentioned in the previous section. However, in order to adjust an optical characteristic and a heat transfer characteristic, the recording layer 3 can be inserted in between various optical interference films as required. For example, dielectric substances such as SiN, SiC, SiO, ZnS, ZnSSiO, GeN, AlO, MgF, InO and ZrO are effective, particularly, ZnSSiO (mixture of ZnS and SiO<sub>2</sub>) is excellent in a heat balancing with a phase change material for recording. Further, the recording layer 3 can be composed with laminating an optical reflective film such as aluminum, gold, silver and their alloy together with an optical interference film so as to adjust reflectivity and a heat transfer characteristic. Furthermore, in

order to perform a high density recording/reproducing, the super resolution masking film and the contrast enhancing film, commonly known, can be laminated together with an optical interference film. With respect to such a filming method, the vacuum film forming method such as the sputtering method, the ion plating method, the vacuum evaporating method and the CVD (Chemical Vapor Deposition) method, which are commonly known, can be utilized. Particularly, the sputtering method is congenial to a phase change material and excellent in mass-productivity.

10 A resin layer 4 is formed over the recording layer 3 succeedingly (Step 1006). The resin layer 4 is provided for protecting the recording layer 3 chemically and mechanically. Depending upon a construction of the optical disk 1, adhesion can be given to the resin layer 4. With respect to a material for the resin layer 4, a resin can be selected out of such resins as ultra violet curable resin, various radiation curable resin, electron beam curable resin, thermosetting resin, moisture curable resin and mixture of plural liquid curable resin. The commonly known method such as the spin coat method, the screen printing method and the offset printing method can be utilized for a filming method of the resin layer 4.

The construction of the optical disk 1 shown in Fig. 14 is one of essential constructions. It is apparent that many changes, modifications and variations in the arrangement of equipment and devices and in materials can be made without departing from the invention concept disclosed herein. For example, another substrate is adhered to the optical disk 1 so as to increase strength of the disk. Further, two disks of which construction is



the same as that of the optical disk 1 shown in Fig. 14 can be adhered to each other and formed one disk, that is, a double face disk or a two layer disk.

5 [First Embodiment]

An application of the optical disk 1 of the present invention to a disk system utilizing a semiconductor laser irradiating a red laser beam is depicted. A wavelength  $\lambda$  of the red laser beam is 650 nm and a numerical aperture NA of an objective lens is 0.6.  
10 Accordingly, a reproduction spot diameter  $\lambda/NA$  of the laser beam is 1083 nm or 1.083  $\mu m$ .

Fig. 15 is a cross sectional view of an optical disk according to a first embodiment of the present invention.

In Fig. 15, an optical disk 100 comprises a substrate 102, a  
15 recording layer 103, a resin layer 104 and a dummy substrate 105 with being laminated in order. Embossing on a surface of the substrate 102 forms a microscopic construction 10. The substrate 102 is a light path of a laser beam as far as the recording layer 103 and its thickness is 0.6 mm. A material of both the substrate 102  
20 and the dummy substrate 105 is polycarbonate resin and its refractive index "n" at 650 nm is 1.58. The recording layer 103 has a laminated construction mainly composed of a phase change material, which is in a high reflectivity when not recorded and in a low reflectivity when recorded. The recording layer 103 is  
25 laminated by ZnSSiO, AgInSbTe, ZnSSiO, and AlTi in order on the substrate 102 with the sputtering method. Further, its reflectivity is 18 to 30 %. In this construction, a recording sensitivity at 650 nm is 7.5 to 14.0 mW. The recording layer 103 can also be recorded

by a laser beam of 635 nm and its recording sensitivity can be maintained in a range of 7.0 to 13.0 mW almost a same range as that of 650 nm.

The microscopic construction 10 while not recorded is shown in Fig. 2. A groove 11 is formed spirally and its track pitch TP is 0.74  $\mu\text{m}$ , which is the same as that of a DVD-ROM (DVD-Read Only Memory) disk, and is sinusoidally deflected. A period of the groove 11 is recorded by 8 times a frequency of a sync-frame. Further, amplitude of a waveform is arbitrarily determined within a range of 9 to 17 nm. Furthermore, a respective phase among adjoining tracks is determined at random for the CLV (constant linear velocity) recording. In addition thereto, an address pit 13 having a certain length of AL is engraved on a land allocated outer area than the groove 11 in accordance with an address value.

The microscopic construction 10 when recorded is shown in Fig. 3. A signal to be recorded is the 8-16 modulation signal and its shortest mark length ML is 0.40  $\mu\text{m}$ . The value of 0.40  $\mu\text{m}$  is the same as that of a DVD-ROM disk. Accordingly, a recording capacity of 4.7 GB can be realized by a disk having a diameter of 120 mm, wherein a radius of recording range of the disk is 24 to 58 mm. In this recording capacity, a TP is equivalent to 68 % of a reproduction spot diameter of a laser beam and a shortest mark length ML is equivalent to 37 % of the reproduction spot diameter of the laser beam.

An output range of address pit, which can perform proper recording and reproducing without interference of an recording mark 14 and an address pit 13 in a same groove with each other, that is, a dimension of various microscopic constructions, which

satisfies an inequality  $0.18 < APb < 0.27$ , follows a condition shown below:

$0.05 \times 650/1.58 \leq d \leq 0.1 \times 650/1.58$ , that is,  
 $20 \leq d \leq 41$  nm and  $0.35 \leq (w/0.74) \leq 0.55$ . In other word,  
 5  $0.26 \leq w \leq 0.41$   $\mu$ m and  
 $0.18 < 0.14k + 4.11 \times 1.58 (d - 26) / 650 < 0.27$ , that is,  
 $0.18 < 0.14k + 0.01 (d - 26) < 0.27$ , wherein  $ML = 0.4$   $\mu$ m.  
 Therefore,  $0.18 < 0.35AL + 0.01 (d - 26) < 0.27$ . In other words, it  
 can be represented as  $44 < 35AL + d < 53$ .

10 Particularly, in order to clarify ranges of the “d” and “k”, a  
 relationship between the “k” and APb is exhibited graphically in  
 Fig. 11. The APb is limited by  $d = 20$  nm, which is a limit of a  
 tracking performance, and limited by  $d = 41$  nm, which is a limit of  
 a jitter. Accordingly, the “d” and “k” take values existing within a  
 15 range of a parallelogram shown in Fig. 11. In other words, the “d”  
 and “k” are existed within the range enclosed by coordinates  $(d, k)$   
 $= (41, 0.22), (41, 0.85), (20, 2.34)$  and  $(20, 1.70)$ . In consideration  
 of scattering in manufacturing such as a groove depth “d” and a  
 address pit length AL, the range is desired to be enclosed by  
 20 coordinates  $(d, k) = (39.5, 0.34), (39.5, 0.95), (21.5, 2.23)$  and  $(21.5,$   
 $1.60)$ .

$ML = 0.4$   $\mu$ m, so that the graph shown in Fig. 11 can be  
 rewritten with replacing the “k” with the AL. Fig. 12 shows a  
 graph with exhibiting the horizontal axis with the AL. As shown in  
 25 Fig. 12, according to the present invention, the “d” and AL are  
 existed within a range enclosed by coordinates  $(d, AL) = (41, 0.08),$   
 $(41, 0.34), (20, 0.94)$  and  $(20, 0.68)$ . In consideration of scattering  
 in manufacturing, the range is desired to be enclosed by

coordinates (d, AL) = (39.5, 0.136), (39.5, 0.380), (21.5, 0.892) and (21.5, 0.640).

[Second Embodiment]

5        An application of the optical disk 1 of the present invention to a disk system utilizing a semiconductor laser irradiating a green laser beam is depicted. A wavelength  $\lambda$  of the green laser beam is 532 nm and a numerical aperture NA of an objective lens is 0.75. Accordingly, a reproduction spot diameter  $\lambda/NA$  of the  
10    laser beam is 709 nm or 0.709  $\mu\text{m}$ .

Fig. 16 is a cross sectional view of an optical disk according to a second embodiment of the present invention. In Fig. 16, an optical disk 200 comprises a substrate 202, a recording layer 203, a resin layer 204 and a transmission layer 207 with being  
15    laminated in order. Embossing on a surface of the substrate 2 forms a microscopic construction 10. The transmission layer 207 is a light path of a laser beam as far as the recording layer 203 and its thickness is 0.1 to 0.12 mm. The transmission layer 207 is made from acetate resin and its refraction index "n" is 1.6. The  
20    recording layer 203 is composed of a phase change material, which is in a high reflectivity when not recorded and in a low reflectivity when recorded. Further, a material of the recording layer 203 is mainly CuAlTeSb having a reflectivity of 15 to 32 %. Actually, the recording layer 203 is laminated by AgPdCu, ZnSSiO, CuAlTeSb  
25    and ZnSSiO in order on the substrate 202. In this construction, a recording sensitivity at 532 nm is 4.5 to 7 mW.

The microscopic construction 10 while not recorded is shown in Fig. 2. A track pitch TP of the groove 11 is 0.468  $\mu\text{m}$ , which is

sinusoidally deflected. A period of the groove 11 is recorded by 6 times a frequency of a sync-frame. Further, amplitude of a waveform is arbitrarily determined within a range of 5 to 9 nm. Furthermore, adjoining tracks are accurately in synchronism with each other for the CAV (constant angular velocity) recording and perfectly in parallel with each other. In addition thereto, an address pit 13 having a certain length of AL is engraved on a land allocated inner area than the groove 11 in accordance with an address value.

The microscopic construction 10 when recorded is shown in Fig. 3. A signal to be recorded is the 8-15 modulation signal and its shortest mark length ML is  $0.269 \mu\text{m}$ . Accordingly, a recording capacity of 11.8 GB can be realized by a disk having a diameter of 120 mm, wherein a radius of recording range of the disk is 24 to 58 mm. In this recording capacity, a TP is equivalent to 66 % of a reproduction spot diameter of a laser beam and a shortest mark length ML is equivalent to 38 % of the reproduction spot diameter of the laser beam.

An output range of an address pit, which can perform proper recording and reproducing without interference of an recording mark 14 and an address pit 13 in a same groove with each other, that is, a dimension of various microscopic constructions, which satisfies an inequality  $0.18 < \text{APb} < 0.27$ , follows a condition shown below:

$$0.05 \times 532/1.60 \leq d \leq 0.1 \times 532/1.60, \text{ that is, } 17 \leq d \leq 33 \text{ nm and } 0.35 \leq (w/0.468) \leq 0.55. \text{ In other word, } 0.16 \leq w \leq 0.26 \mu\text{m and } 0.18 < 0.14k + 4.11 \times 1.60 (d - 26) / 532 < 0.27, \text{ that is,}$$

$$0.18 < 0.14k + 0.012(d - 26) < 0.27.$$

Particularly, in order to clarify a range of  $0.18 < APb < 0.27$ , a relationship between the "k" and APb is exhibited graphically in Fig. 13. The APb is limited by  $d = 17$  nm, which is a limit of a tracking performance, and limited by  $d = 33$  nm, which is a limit of a jitter. Accordingly, the address pit length AL takes values existing within a range of a parallelogram shown in Fig. 13. In other words, the AL is existed within the range enclosed by coordinates  $(d, k) = (33, 0.68), (33, 1.32), (17, 2.68)$  and  $(17, 2.04)$ .

While the invention has been described above with reference to a specific embodiment relating to a high density optical disk, which is allocated with an address pit in between grooves thereof, it is apparent that many changes, modifications and variations in the arrangement of equipment and devices and in materials can be made without departing from the invention concept disclosed herein. For example, the wavelength of the laser beam utilized for reproducing or recording/reproducing is assigned to 650 nm and 532 nm. However, the wavelength is not limited to them. Any wavelength such as 830, 635, 515, 460, 430, 405 and 370 nm, and their nearby wavelength can be utilized. Such a numerical aperture NA of a lens as 0.4, 0.45, 0.55, 0.65, 0.7, 0.8, 0.85 and 0.9 other than 0.60 and 0.75 can be utilized. Further, a numerical aperture of more than one, which is represented by a solid immersion lens, can also be utilized.

Furthermore, in order to simplify the explanation, the microscopic construction shown in Fig. 2 is illustrated so as to exhibit the outline of the present invention. Any signals other than the groove, land and address pit shown in Fig. 2 can be

engraved on the optical disk. For example, a pit array carrying a lead-in signal and another pit array utilized for preventing an optical disk from dump copying and faking can be recorded in an inner circumference area such as an arbitrary radius width within  
5 a range with radii 15 to 24 mm for a microscopic construction of the substrate 2. Moreover, a write-once information control area, which is disclosed in the United State Patent No. 5,617,408, called as a BCA (burst cutting area) can be provided in an inner circumference area of an optical disk. In addition thereto, a  
10 thickness, an inner construction, outer dimensions and component materials of each layer can also be changed or modified.

#### [Third Embodiment]

Two embodiments related to the optical disk 1, according to  
15 the present invention, comprising a substrate formed with address pits, which are allocated in sinusoidally deflected grooves and in between the grooves, a recording layer, which contains at least rewritable phase change material having a reflectivity of more than 15 %, and a resin layer formed over the recording layer are  
20 explained and further a method extending the present invention over the embodiments is described above. An optical disk 300, which is provided with a particular pit array of preventing the optical disk from a dump copying, that is, duplicating whole software totally in an independent area and further an address pit  
25 is embedded in the pit array, is explained next as an application.

Fig. 20 is a plan view of an optical disk according to an embodiment of the present invention. In Fig. 20, an optical disk 300 comprises a first area 311 having a first microscopic

construction 111 and a second area 312 having a second microscopic construction 112. The first microscopic construction 111 is an area of being formed with grooves sinusoidally deflected and address pits, which are allocated with being scattered in sinusoidally deflected grooves and in between the sinusoidally deflected grooves, and has a same microscopic construction as the microscopic construction 10 of the optical disk 1 according to the present invention. In other words, a microscopic construction while not recorded is a same construction as that of shown in Fig. 2. Further, the groove 11 can be recorded and a microscopic construction when recorded is a same construction as that of shown in Fig. 3.

The second microscopic construction 112 is at least composed of address pits, which are allocated with being scattered in a pit array and land area. Fig. 21 is a fragmentary plan view of the second microscopic construction 112 of the optical disk 300, partially enlarged, while the optical disk 300 is not recorded. In Fig. 21, a plurality of pit arrays 15a through 15d, hereinafter represented a pit array 15 having a shortest pit length PL and a pit width "w2", which are modulation signals utilized for a copy guard, are engraved on the substrate 2 approximately in parallel with each other and form tracks having a track pitch TP respectively. Each pit array 15 is deflected by a frequency of integral multiples of a sync-frame frequency of a whole system so as to extract a clock frequency and formed as a sinusoidal waveform. The waveform can be in synchronism with or asynchronism with adjacent pit arrays. An address pit 13 is engraved with being scattered in between tracks, that is, in the



land area 12 and carries an address information. In other words, the address pit 13 is formed in the land area 12, so that the address pit 13 can be read out by a reproducing apparatus and a recording/reproducing apparatus equipped with the 4 division  
5 detector 9 as same manner as that for the aforementioned optical disk 1. The address information is recorded in accordance with a distance between each address pit 13. Therefore, a length AL2 of the address pit 13 is assumed to be constant. Fig. 17 is an information format exhibiting one example of an address  
10 information. A sync-bit or a synchronous signal is allocated at a head of the information format and followed by a relative address data and an ECC (error correction code) block address data. The format is composed of, for example, one bit of the sync-bit, 4 bits of the relative address data and 8 bits of the ECC block address data.  
15 It is arbitrarily defined whether an inner circumference side or an outer circumference side of the pit array 15 is assigned to be an address of the pit array 15. Further, the address pit 15 is allocated at a position, where the sinusoidal groove 11 is maximally deflected, that is, within 10 degrees from a peak of the sinusoidal  
20 waveform. Both the pit array 15 and the address pit 13 are a same depth d2 (not shown).

The optical disk 300 of the present invention comprises two individual microscopic constructions, that is, the first microscopic construction 111 and the second microscopic construction 112 and  
25 they can be arbitrarily allocated. In other words, they can be allocated in either an inner circumference area or an outer circumference area. Further, it can be feasible that the second microscopic construction 112 is contained in the first microscopic

construction 111. A perpendicular sectional construction of the optical disk 300 at least comprises a substrate 2, a recording layer 3 and a resin layer 4 as same as that of the optical disk 1. Any construction shown in Figs. 14 through 16, for example, can be applicable for the optical disc 300. Furthermore, the recording layer 3 contains at least a phase change recording material and its reflectivity is more than 15 %. It is acceptable that the first microscopic construction 111 and the second microscopic construction 112 are different from each other in physical parameters such as different track pitches TP and TP2, different depths "d" and "d2" and different widths "w" and "w2". However, it is desired that some parameters are a same so as to simplify a system and to manufacture the optical disk 300 easier. It is more desired that all the parameters are the same. With respect to an address information to be engraved, it is desired that the first and the second microscopic constructions 111 and 112 share one consistent table and utilize the table not so as to be overlapped each other.

The first area 311 or the first microscopic construction 111 of the optical disk 300 is an area for recording and reproducing as same as that of the aforementioned optical disk 1, so that an address information is necessary to be read in either states of not recorded or recorded. Further, an interference of the address information with a recording signal shall be considered. Accordingly, a signal output, which complies with the aforementioned conditions (1) through (4), and dimensions of a microscopic construction are necessary for reading out an address information.

On the other hand, the second area 312 or the second microscopic construction 112 of the optical disk 300 is another area for preventing the optical disk 300 from dump copying and each dimension of the second microscopic construction 112 is prescribed so as for a system to brake down when a malicious person tries to record in the second area 112. In a case that dimensions of the second area 312 are almost a same as those of the first area 311, for example, signals from both areas are mixed and either signals can not be read out by writing in for a dump copying. With prescribing  $TP2 = TP$ , it is a most ideal case that  $PL = ML$ .

With assuming such an illegal copying, it is not necessary for readability of the address pit 13 after recorded or dump copied to be considered. However, it is necessary for an address information to be accurately read out while not recorded. As seen from Fig. 21, one pit of the pit array 15 and the address pit 13 are a similar signal, so that it is necessary for a signal output of the address pit 13 to exceed that of the pit array 15.

While the optical disk 300 is not recorded, the readability of the address pit 13 of the second area 312 (the second microscopic construction 112) is observed by using the 4 division detector 9 shown in Fig. 6 and by reproducing the composite output  $(Ia + Ib) - (Ic + Id)$  from the 4 division detector 9. Fig. 22 shows a reproduced waveform, which is obtained such that the composite output  $(Ia + Ib) - (Ic + Id)$  is passed through an amplifier having a bandwidth of 20 MHz or more. As shown in Fig. 21, the address pit 13 is superimposed on a sinusoidally deflected track and a waveform composed of the pit array 15 and the address pit 13 is

reproduced. An address can be read out from the composed waveform if the address pit 13 can be detected out from the composed waveform. A readout performance of an address depends upon an output of the address pit 13: the larger the output and the smaller a leakage from the pit array 15, the better the performance can be obtained. Accordingly, the larger an aperture ratio of the address pit shown in Fig. 22 is, the lower in error rate the signal is. An aperture ratio in the second area 112 is prescribed to "R" and defined as  $R = \Delta 2 / AP2$ . In order to obtain correlation between the aperture ratio "R" and an error rate of the address pit 13, several types of the optical disk 300 are produced with varying the parameter AL2 as a trial. Further, pit arrays are modulated by the 8-16 modulation method and a pit 15Z adjoining to the address pit 13 shown in Fig. 21 is arranged to be 14T, wherein "14T" is the sync-signal. An error rate of the address pit 13 in a trial disk, which is manufactured as mentioned above, is measured while the trial disk is not recorded.

Fig. 23 shows a measured error rate of an address pit in the second area 112 of the optical disk 300. The horizontal axis is the aperture ratio "R" of an address pit and the vertical axis is a block error rate (BER) measured up more than 100 ECC blocks. Further, it is one condition of reliability that an error rate shall be 3 % or less while the disk is not recorded. As shown in Fig. 23, the larger value the aperture ratio "R" of the address pit is, the easier the address pit can be read out and the smaller the error rate is. In order to maintain an error rate of 3 % or less, it is found that the aperture ratio "R" of an address pit shall be 0.3 or more, that is, 30 % or more.

An address pit performance, which ensures actual operation of a drive for recording and reproducing the optical disk 300 and which is studied as mentioned above, is summarized as follows. In other words, a performance index of an address pit signal in a not  
5 recorded state shall satisfy following conditions.

The first area 311 (first microscopic construction 111):

$$0.18 < APb < 0.27$$

The second area 312 (second microscopic construction 112):

$$R > 0.3$$

10 Microscopic dimensions, which satisfy a performance of the address pit signal mentioned above, particularly, microscopic dimensions of the second area 312 are hard to specify due to too many parameters. However, a limiting range of the second area 312 is rather wider in comparison with that of the first area 311,  
15 which requires that respective address error rate shall be maintained on both recording and reproducing. Further, since parameters of the first and second areas 311 and 312 are desired to be common as far as possible, an limiting range of the first area 311 shall be examined whether or not it is applied to the second  
20 area 312 as it is with respect to each case. It is better to modify partially, if necessary. However, in most cases, it is possible to apply the limiting range of the first area 311 to the second area 312 as it is.

#### 25 [Fourth Embodiment]

An application of the optical disk 100 of the present invention to a disk system utilizing a semiconductor laser irradiating a red laser beam is depicted. A wavelength  $\lambda$  of the

green laser beam is 650 nm and a numerical aperture NA of an objective lens is 0.6. Accordingly, a reproduction spot diameter  $\lambda$  /NA of the laser beam is 1083 nm or 1.083  $\mu$ m.

A cross sectional view of an optical disk 100 is shown in Fig. 15. The optical disk 100 is a single side disk and can be recorded on and reproduced from either side of the disk. A first and second microscopic constructions 111 and 112 are engraved on a surface of the substrate 102. The substrate 102 is a light path of a laser beam as far as the recording layer 103 and its thickness is 0.6 mm. A material of the substrate 102 is polycarbonate resin and its refractive index "n" at 650 nm is 1.58. The recording layer 103 has a laminated construction mainly composed of a phase change material, which is in a high reflectivity when not recorded and in a low reflectivity when recorded. The recording layer 103 is laminated by ZnSSiO, AgInSbTe, ZnSSiO, and AlCr in order on the substrate 102 with the sputtering method. An optical disk 100 is manufactured in accordance with a manufacturing method shown in Fig. 26. The manufacturing method is similar to that of shown in Fig. 18, so that manufacturing steps common to those of Fig. 18 are omitted. A dummy substrate 105 having a thickness of 0.6 mm is prepared (Step 1007) after filming a recording layer 103 on a substrate 102 (Step 1005). The dummy substrate 105 is adhered to a lamination of the recording layer 103 and the substrate 102 with sandwiching a resin layer 104 between the recording layer 103 and the dummy substrate 105 (Step 1008), and then the optical disk 100 is manufactured. In this construction, a recording sensitivity of the recording layer 103 at 650 nm is 7.5 to 14.0 mW. The recording layer 103 can also be recorded by a laser beam of 635 nm

and its recording sensitivity can be maintained in a range of 7.0 to 13.0 mW almost a same range as that of 650 nm.

Fig. 25 is a plan view of an optical disk 400 according to the fourth embodiment of the present invention. As shown in Fig. 25, the optical disk 400 comprises a first area 411a having the first microscopic construction 111, a second area 412 having the second microscopic construction 112 and another first area 411b having the first microscopic construction 111. In other words, the optical disk 400 comprises the first areas 411a and 411b having the first microscopic construction 111 for recording and reproducing and the second area 412 having the second microscopic construction 112 for preventing the optical disk 400 from dump copying. The first areas 411a and 411b are an area, which is engraved with the groove 11 for recording and reproducing and the address pit 13 as shown in Fig. 2. The second area 412 is another area engraved with the specific pit array 15 for preventing the optical disk 400 from dump copying and the address pit 13 as shown in Fig. 21. Actually, the optical disk 400 comprises the first area 411a, the second area 412 and the first area 411b in order from the innermost circumference area to the outermost circumference area.

The groove 11 having a width of "w" is provided spirally in the first areas 411a and 411b and its track pitch TP is  $0.74 \mu\text{m}$  as same as that of a DVD-ROM disk and sinusoidally deflected. A period of the groove 11 is recorded by eight times a sync-frame frequency. Further, amplitude of a waveform of the groove 11 is arbitrarily prescribed within a range of 9 to 17 nm. Furthermore, a respective phase among adjoining tracks is determined at

random for the CLV (constant linear velocity) recording. Moreover,  
an address pit 13 having a certain length of AL is engraved on a  
land allocated outer area than the groove 11 in accordance with an  
address value. In addition thereto, a depth of the groove 11 is a  
5 same as that of the address pit 13 and the depth is "d"  
respectively.

The specific pit array 15 having a width of "w2" is also  
provided spirally in the second area 412 and its track pitch TP2 is  
0.74  $\mu\text{m}$  as same as that of the first area 411 and sinusoidally  
10 deflected. A period of the groove is recorded by eight times a  
sync-frame frequency. Further, amplitude of a waveform of the  
groove is arbitrarily prescribed within a range of 9 to 17 nm.  
Furthermore, a respective phase among adjoining tracks is  
determined at random for the CLV (constant linear velocity)  
15 recording. Moreover, an address pit 13 having a certain pit length  
of AL2 is engraved on a land allocated outer area than the specific  
pit array 15 in accordance with an address value. A depth of the  
specific pit array 15 is a same as that of the address pit 13 and the  
depth is "d2". In addition thereto, the specific pit array 15 is  
20 modulated by the 8-16 modulation method and its shortest pit  
length PL, that is, the 3T signal is 0.40  $\mu\text{m}$ . Each address pit 13  
is provided with the pit 15z composed of the 14T signal with being  
adjacent to the address pit 13.

The microscopic construction 111 in the first areas 411a and  
25 411b is show in Fig. 3 while the optical disk 400 is recorded. A  
signal is recorded within the groove 11 and its recording mark 14  
is a signal of the 8-16 modulation method. Further, a shortest  
mark length ML is 0.40  $\mu\text{m}$ . In this case, the TP or the TP2 is



equivalent to 68 % of a reproduction spot diameter of a laser beam and a shortest mark length ML, that is, the PL is equivalent to 37 % of the reproduction spot diameter of the laser beam.

In the first area 411, an output range of address pit, which  
5 can suppress an interference between an recording mark 14 in the groove 11 and an address pit 13 minimally, that is, a dimension of various microscopic constructions, which satisfies an inequality  $0.18 < APb < 0.27$ , follows a condition shown below as same as that of the first embodiment:  $20 \leq d \leq 41$  nm and

10  $0.35 \leq (w/0.74) \leq 0.55$ , and further,  $44 < 35AL + d < 53$ .

A range of the "d" and AL to realize the above inequality is existed within a parallelogram shown in Fig. 12. In other words, the "d" and AL are existed within the range enclosed by coordinates (d, AL) = (41, 0.08), (41, 0.34), (20, 0.94) and (20, 0.68).

15 With respect to the second area 412, it is examined whether or not these microscopic dimensions can be applied, next. Trial optical disks are manufactured with varying each  $w2/TP2$ , that is,  $w2/0.74$  of 4 coordinates of the parallelogram from 0.35 to 0.55, and then the disks are measured with an aperture ratio "R" of the  
20 second area while not recorded and its error rate, which is a block error rate measured with more than 100 ECC blocks. A result of the measurement is shown in Table 1. As shown in Table 1, it is apparent that the "R" takes values from 0.45 to 0.75 at  $d2 = 20$  nm and takes values from 0.48 to 0.78 at  $d2 = 41$  nm. These values are  
25 all in  $R > 0.3$  and a measured error rate is less than 2.3 %. Accordingly, sufficiently low error rate can be obtained. In other words, the microscopic dimensions such as "d2", "w2" and AL2 in the second area 412 can be defined as a same range as those of the

first area 411. Therefore, there is no difficulty in a system design for reproducing two areas.

| <b>d2 (nm)</b> | <b>AL2 (<math>\mu\text{m}</math>)</b> | <b>w2/TP2</b> | <b>R</b> | <b>BER (%)</b> |
|----------------|---------------------------------------|---------------|----------|----------------|
| 20             | 0.680                                 | 0.35          | 0.45     | 2.3            |
| 20             | 0.680                                 | 0.45          | 0.49     | 2.0            |
| 20             | 0.680                                 | 0.55          | 0.54     | 1.5            |
| 20             | 0.940                                 | 0.35          | 0.66     | 1.0            |
| 20             | 0.940                                 | 0.45          | 0.70     | 0.8            |
| 20             | 0.940                                 | 0.55          | 0.75     | 0.5            |
| 41             | 0.134                                 | 0.35          | 0.48     | 2.3            |
| 41             | 0.134                                 | 0.45          | 0.55     | 1.2            |
| 41             | 0.134                                 | 0.55          | 0.60     | 0.9            |
| 41             | 0.340                                 | 0.35          | 0.68     | 0.8            |
| 41             | 0.340                                 | 0.45          | 0.73     | 0.5            |
| 41             | 0.340                                 | 0.55          | 0.78     | 0.4            |

$$\text{TP1} = \text{TP2} = 0.74 \text{ (}\mu\text{m)}, \quad \text{ML} = \text{PL} = 0.40 \text{ (}\mu\text{m)}$$

**Table 1**

[Fifth Embodiment]

An application of the optical disk 100 of the present invention to a disk system utilizing a semiconductor laser irradiating a red laser beam is depicted. A wavelength  $\lambda$  of the green laser beam is 650 nm and a numerical aperture NA of an objective lens is 0.6. Accordingly, a reproduction spot diameter  $\lambda$  /NA of the laser beam is 1083 nm or 1.083  $\mu\text{m}$ .

Fig. 24 is a cross sectional view of an optical disk 500 according to a fifth embodiment of the present invention. As shown in Fig. 24, the optical disk 500 is a double face disk and either face of the disk can be reproduced. The optical disk 500 is manufactured in accordance with a manufacturing method shown in Fig. 27. As shown in Fig. 27, two intermediate disks composed of a substrate 502 and a recording layer 503 are produced (Step 1005). The intermediate disks are adhered together with sandwiching a resin layer 504 between them so as to face each recording layer 503 toward each other (Step 1018), and then the optical disk 500 is finally manufactured.

The first and second microscopic constructions 111 and 112 are formed on a surface of the substrate 502 with being embossed. The substrate 502 is a light path of a laser beam as far as the recording layer 503 and its thickness is 0.6 mm. A material of the substrate 502 is polycarbonate resin and its refractive index "n" at 650 nm is 1.58. The recording layer 503 has a laminated construction mainly composed of a phase change material, which is in a high reflectivity when not recorded and in a low reflectivity when recorded. The recording layer 503 is laminated by ZnSSiO, AgInSbTe, ZnSSiO, and AlCr in order on the substrate 502 with the sputtering method. A reflectivity of the recording layer 503 is 18 to 30 %. In this construction, a recording sensitivity of the recording layer 503 at 650 nm is 7.5 to 14.0 mW. The recording layer 503 can also be recorded by a laser beam of 635 nm and its recording sensitivity can be maintained in a range of 7.0 to 13.0 mW almost a same range as that of 650 nm.

A microscopic construction while not recorded is the same

configuration shown in Fig. 25. As shown in Fig. 25, the optical disk 500 comprises the first areas 411a and 411b having the first microscopic construction 111 for recording and reproducing and the second area 412 having the second microscopic construction 112 for preventing the optical disk 500 from dump copying. The first areas 411a and 411b are an area, which is engraved with the groove 11 for recording and reproducing and the address pit 13 as shown in Fig. 2. The second area 412 is another area engraved with the specific pit array 15 for preventing the optical disk 500 from dump copying and the address pit 13 as shown in Fig. 21. Actually, the optical disk 500 comprises the first area 411a, the second area 412 and the first area 411b in order from the innermost circumference area to the outermost circumference area.

The groove 11 having a width of "w" is provided spirally in the first areas 411a and 411b and its track pitch TP is  $0.74 \mu\text{m}$  as same as that of a DVD-ROM disk and sinusoidally deflected. A period of the groove 11 is recorded by eight times a sync-frame frequency. Further, amplitude of a waveform of the groove 11 is arbitrarily prescribed within a range of 9 to 17 nm. Furthermore, a respective phase among adjoining tracks is determined at random for the CLV (constant linear velocity) recording. Moreover, an address pit 13 having a certain length of AL is engraved on a land allocated outer area than the groove 11 in accordance with an address value. In addition thereto, a depth of the groove 11 is a same as that of the address pit 13 and the depth is "d" respectively.

In the second area 412, the specific pit array 15 having a

width of "w2" is provided spirally in a same direction of rotation as that of the first area and its track pitch TP2 is  $0.74 \mu\text{m}$  as same as that of the first area and sinusoidally deflected. A period of the groove is recorded by 8 times a sync-frame frequency. Further, amplitude of a waveform of the groove is a same as that of the first area 411. Furthermore, a respective phase among adjoining tracks is determined at random for the CLV (constant linear velocity) recording. Moreover, an address pit 13 having a certain pit length of AL2 is engraved on a land allocated outer area than the specific pit array 15 in accordance with an address value as same as that of the first area. A depth of the specific pit array 15 is a same as that of the address pit 13 and the depth is "d2". In addition thereto, the specific pit array 15 is modulated by the 8-16 modulation method and its shortest pit length PL, that is, the 3T signal is  $0.40 \mu\text{m}$ . Each address pit 13 is provided with the pit 15z composed of the 14T signal with being adjacent to the address pit 13.

Actual dimensions of the first and second areas for manufacturing are prescribed with  $20 \leq d \leq 41 \text{ nm}$ ,  $0.35 \leq (w/0.74) \leq 0.55$  and  $44 < 35AL + d < 53$ . Further, for easier manufacturing, parameters are prescribed as  $d2 = d$ ,  $w2 = w$  and  $AL2 = AL$ .

An address pit 13 of the optical disk 500 manufactured as mentioned above can be read out properly from the first and second areas while the disk 500 is not recorded. Further, the first area is recorded with the microscopic construction 111 shown in Fig. 3. In other words, a 8-16 modulation signal having the shortest mark length ML of  $0.40 \mu\text{m}$  is recorded in the groove 11 as a recording mark 14. In this case, readability of the address pit

13 is excellent. In addition thereto, the recording mark 14 can be reproduced properly.

According to an aspect of the present invention, there provided an optical information recording medium, which is realized as an optical disk for high density recording by utilizing a phase change recording layer having reflectivity of more than 15 % together with an address pit, which is recorded on a land with being scattered. Particularly, such a disk having an address pit output in accordance with the present invention can minimize relative interference between a recording mark provided in a groove and an address pit signal and can be recorded and reproduced excellently. Further, dimensions of microscopic construction of a disk substrate are specified, so that stable manufacturing and supplying of a disk can be maintained.

According to another aspect of the present invention, there provided an optical information recording medium, which is a high density phase change type optical recording disk having a recording/reproducing area recorded with an address pit with scattered on a land and having another area recorded with a pit array for preventing the disk from illegal copying and a scattered address signal together. Further, by prescribing an aperture ratio of an address signal within a specific range, the disk can be read out in a low error rate. Furthermore, in order to realize the readout in a low error rate, a microscopic construction of a disk substrate is specified by dimensions. Accordingly, stable manufacturing and supplying of a disk can be assured.

WHAT IS CLAIMED IS:

1. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves;

a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,  
said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in said area is within a range of 0.18 to 0.27.

2. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,  
said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in said area is within a range of 0.18 to 0.27.

3. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves of which a track pitch TP is  $0.74\ \mu\text{m}$ ;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,  
said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal by using a pickup having a wavelength of a laser beam of 650 nm and a numerical aperture of 0.6 under a not recorded condition in said area is within a range of 0.18 to 0.27.

4. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays;

a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,  
said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in said area is more than 0.3.

5. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally



deflected pit arrays and address pits scattered and allocated between said pit arrays;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer, said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal under a not recorded condition in said area is more than 0.3.

6. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays of which a track pitch TP2 is  $0.74 \mu\text{m}$ ;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer, said optical information recording medium is further characterized in that an output value of address pit as a signal component of address pit occupying in a reproduced signal by using a pickup having a wavelength of a laser beam of 650 nm and a numerical aperture of 0.6 under a not recorded condition in said area is more than 0.3.

7. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves;

a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer, said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL, a readout wavelength  $\lambda$  and a substrate refractive index "n" in said area such as

$$0.05 \lambda / n \leq d \leq 0.1 \lambda / n,$$

$$0.35 \leq w / TP \leq 0.55,$$

$$0.18 < 0.14k + 4.11 n(d - 26) / \lambda < 0.27 \text{ and}$$

$$k = AL / ML.$$

8. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer, said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL, a readout wavelength  $\lambda$  and a substrate refractive index "n" in said area such as

$$0.05 \lambda / n \leq d \leq 0.1 \lambda / n,$$

$$0.35 \leq w/TP \leq 0.55,$$

$$0.18 < 0.14k + 4.11 n(d - 26) / \lambda < 0.27 \text{ and}$$

$$k = AL/ML.$$

9. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected grooves and address pits scattered and allocated between said grooves;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,

said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP, an address pit length AL in said area such as

$$TP = 0.74 \mu m,$$

$$20 \leq d \leq 41 \text{ nm},$$

$$0.26 \leq w \leq 0.41 \mu m \text{ and}$$

$$35AL + d < 53.$$

10. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays;

a recording layer having reflectivity of more than 15 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,

said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2, a readout wavelength  $\lambda$  and a substrate refractive index "n" in said area such as

$$0.05 \lambda / n \leq d2 \leq 0.1 \lambda / n,$$

$$0.35 \leq w2/TP2 \leq 0.55,$$

$$0.18 < 0.14k + 4.11 n(d2 - 26) / \lambda < 0.27 \text{ and}$$

$$k = AL2/ML.$$

11. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,

said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2, a readout wavelength  $\lambda$  and a substrate refractive index "n" in said area such as

$$0.05 \lambda / n \leq d2 \leq 0.1 \lambda / n,$$

$$0.35 \leq w2/TP2 \leq 0.55,$$

$$0.18 < 0.14k + 4.11 n(d2 - 26) / \lambda < 0.27 \text{ and}$$

$$k = AL2/ML.$$

12. An optical information recording medium comprising:

a substrate formed with an area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays;

a recording layer having reflectivity of 18 through 30 % being composed of at least a rewritable phase change material; and

a resin layer formed over said recording layer,  
said substrate is further characterized in that said area is provided with a microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit array track pitch TP2, an address pit length AL2 in said area such as

$$TP2 = 0.74 \mu m,$$

$$20 \leq d2 \leq 41 \text{ nm},$$

$$0.26 \leq w2 \leq 0.41 \mu m \text{ and}$$

$$44 < 35AL2 + d2 < 53.$$

13. The optical information recording medium in accordance with claim 3, wherein said rewritable phase change material is an alloy containing antimony, tellurium, and a metal having a melting point of less than 1100 degrees.

14. The optical information recording medium in accordance with claim 6, wherein said rewritable phase change material is an alloy containing antimony, tellurium, and a metal having a melting point of less than 1100 degrees.

15. The optical information recording medium in accordance with claim 9, wherein said rewritable phase change material is an alloy containing antimony, tellurium, and a metal having a melting point of less than 1100 degrees.

16. The optical information recording medium in accordance with claim 12, wherein said rewritable phase change material is an alloy containing antimony, tellurium, and a metal having a melting point of less than 1100 degrees.

17. The optical information recording medium in accordance with claim 3, wherein said rewritable phase change material is a silver-indium-antimony-tellurium alloy.

18. The optical information recording medium in accordance with claim 6, wherein said rewritable phase change material is a silver-indium-antimony-tellurium alloy.

19. The optical information recording medium in accordance with claim 9, wherein said rewritable phase change material is a silver-indium-antimony-tellurium alloy.

20. The optical information recording medium in accordance with claim 12, wherein said rewritable phase change material is a silver-indium-antimony-tellurium alloy.

21. A substrate for an optical information recording medium, which is formed with a first area having sinusoidally deflected

grooves and address pits scattered and allocated between said grooves together with a second area having sinusoidally deflected pit arrays and address pits scattered and allocated between said pit arrays, said substrate is further characterized in that said first area is provided with a first microscopic construction being simultaneously satisfied by relationships among a groove depth "d", a groove width "w", a groove track pitch TP and an address pit length AL in said first area such as

$$TP = 0.74 \text{ } \mu\text{m},$$

$$20 \leq d \leq 41 \text{ nm}$$

$$0.26 \leq w \leq 0.41 \mu\text{m and}$$

$$35AL + d < 53, \text{ and}$$

that said second area is provided with a second microscopic construction being simultaneously satisfied by relationships among a pit depth "d2", a pit width "w2", a pit track pitch TP2 and an address pit length AL2 in said first area such as

$$TP2 = 0.74 \text{ } \mu\text{m},$$

$$20 \leq d2 \leq 41 \text{ nm},$$

$$0.26 \leq w2 \leq 0.41 \mu\text{m and}$$

$$44 < 35AL2 + d2 < 53.$$

22. The substrate for an optical information recording medium in accordance with claim 21, wherein said first and second microscopic constructions are satisfied by relationships such as  $d = d2$ ,  $w = w2$  and  $AL = AL2$  simultaneously.

23. A manufacturing method for an optical information recording medium comprising steps of:

vacuum filming a recording layer being composed of at least a rewritable phase change material on a substrate; and

adhering a dummy substrate on said recording layer with sandwiching a resin layer between said recording layer and dummy substrate.

24. The manufacturing method of an optical information recording medium in accordance with claim 23, wherein another substrate is prepared for manufacturing one optical information recording medium instead of said dummy substrate, and wherein said two substrates are adhered together with facing said recording layer toward each other and sandwiching a resin layer between said recording layers.



## ABSTRACT

In an optical disk including at least a rewritable phase change material and comprising a recording layer having a reflectivity of more than 15 %, an address output value as an address pit signal component occupying in a reproduced signal in a non recording state is prescribed to be 0.18 though 0.27 or a numerical aperture of an address pit signal occupying in a reproduced signal in a non recording state is prescribed to be more than 0.3.

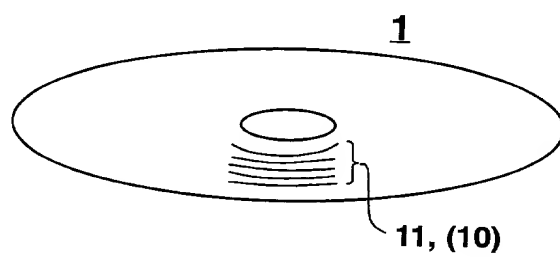


Fig. 1

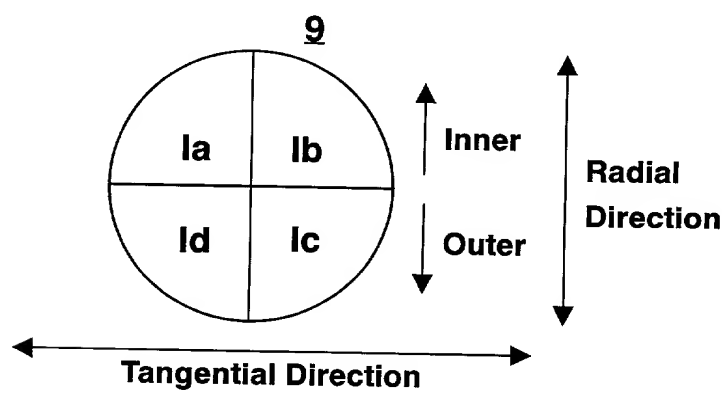


Fig. 6

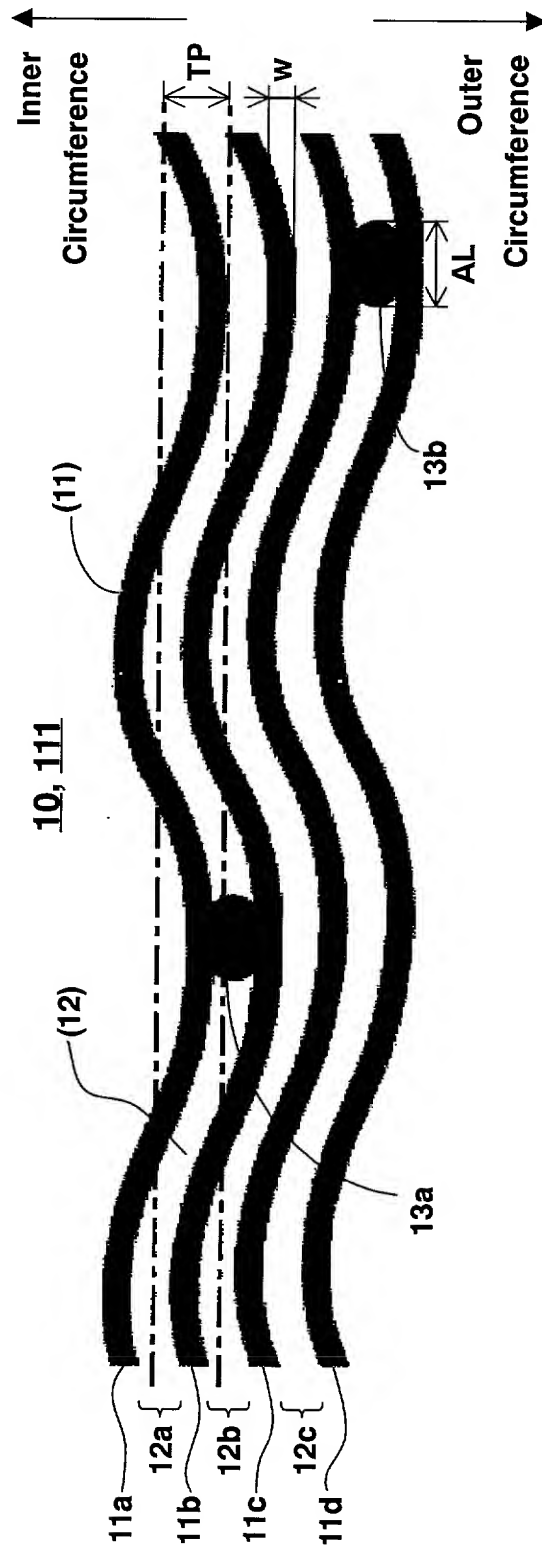


Fig. 2

10, 111

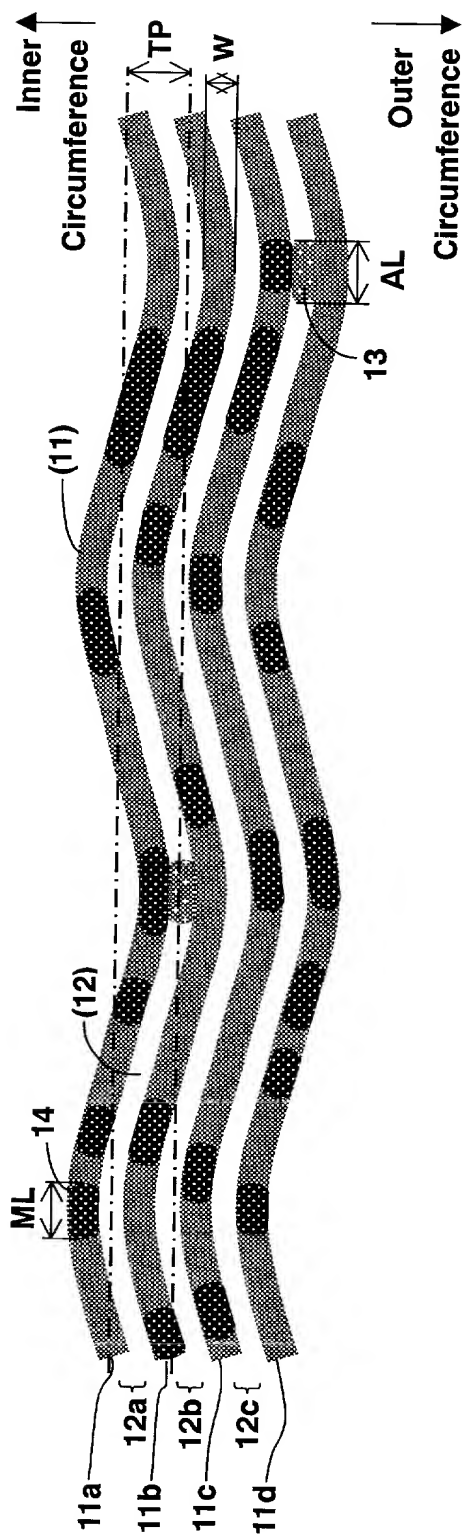
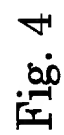
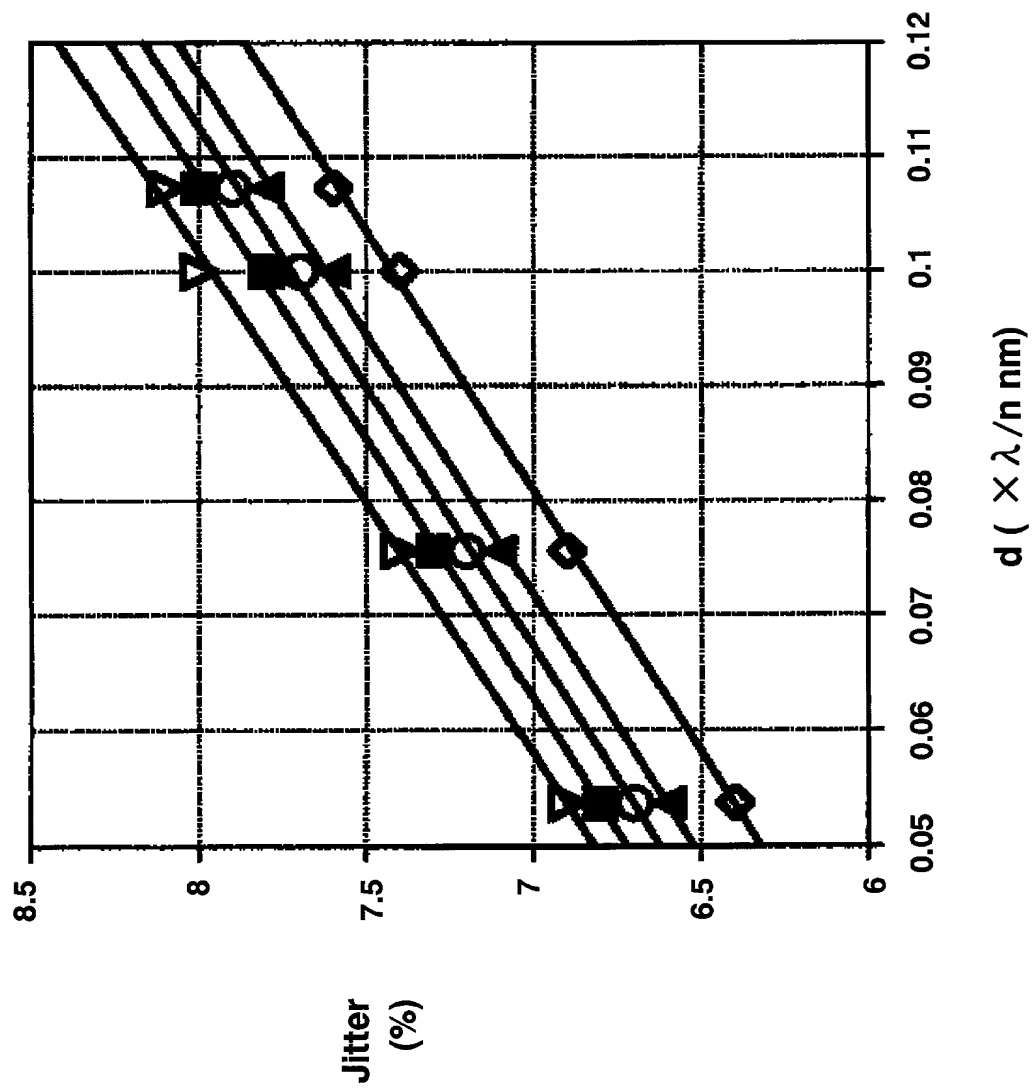


Fig. 3





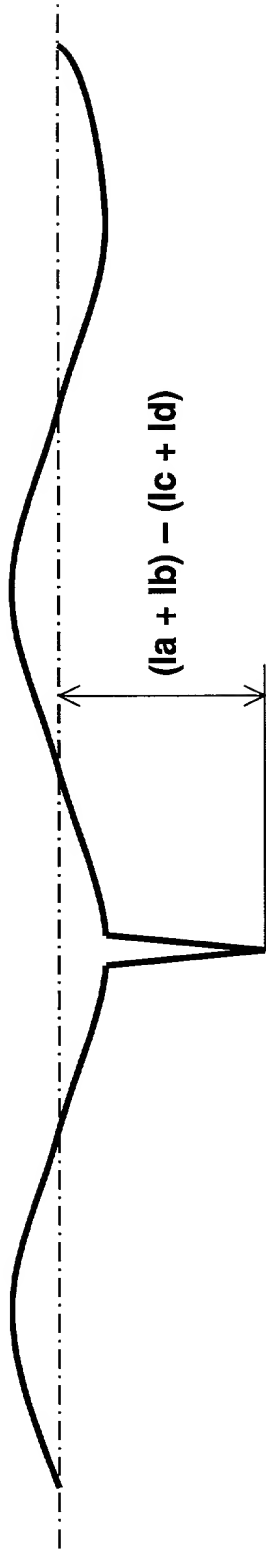


Fig. 7

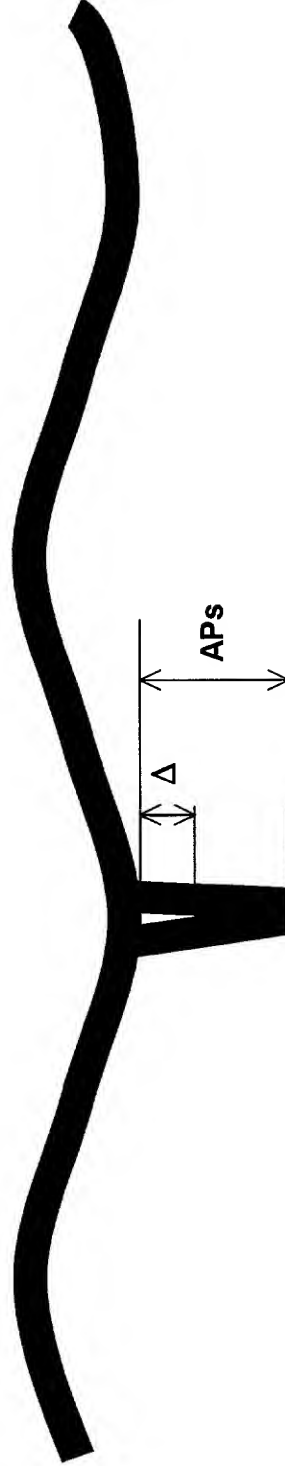


Fig. 8

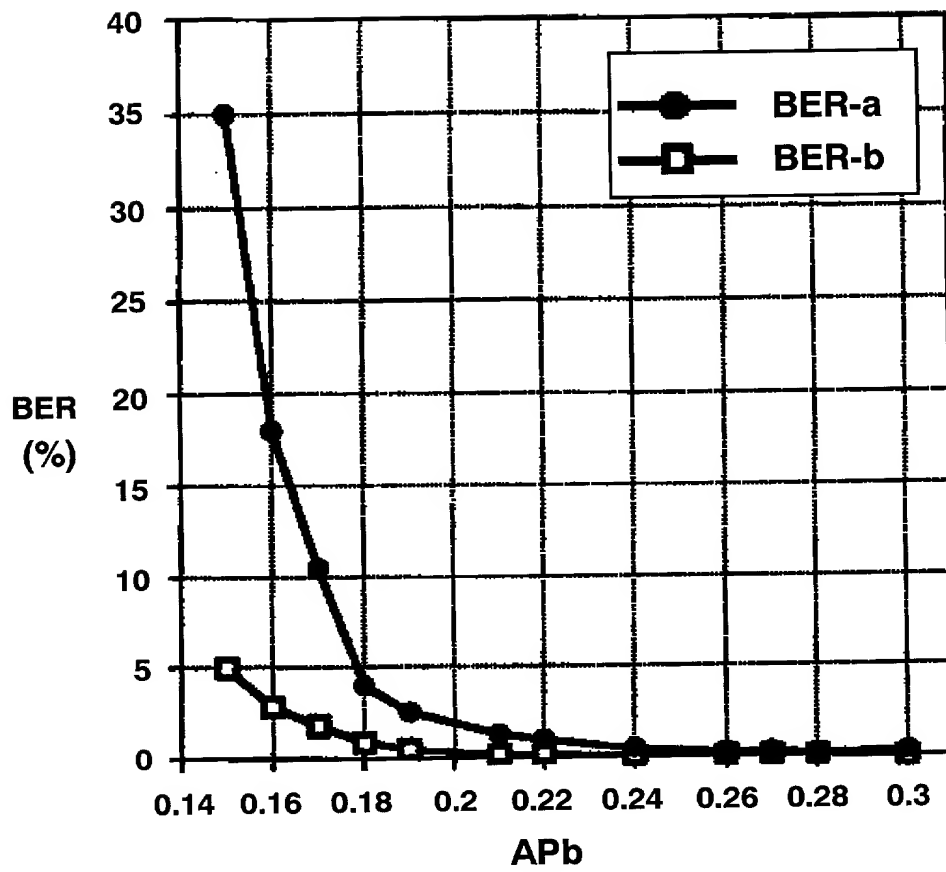


Fig. 9



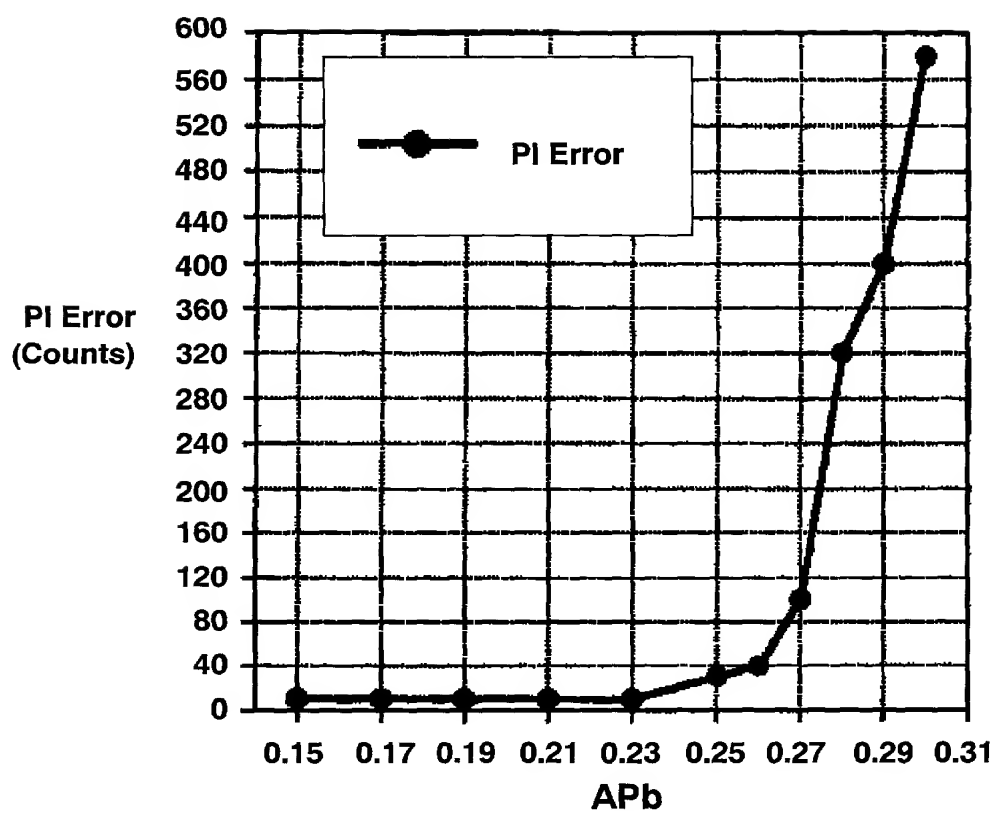


Fig. 10

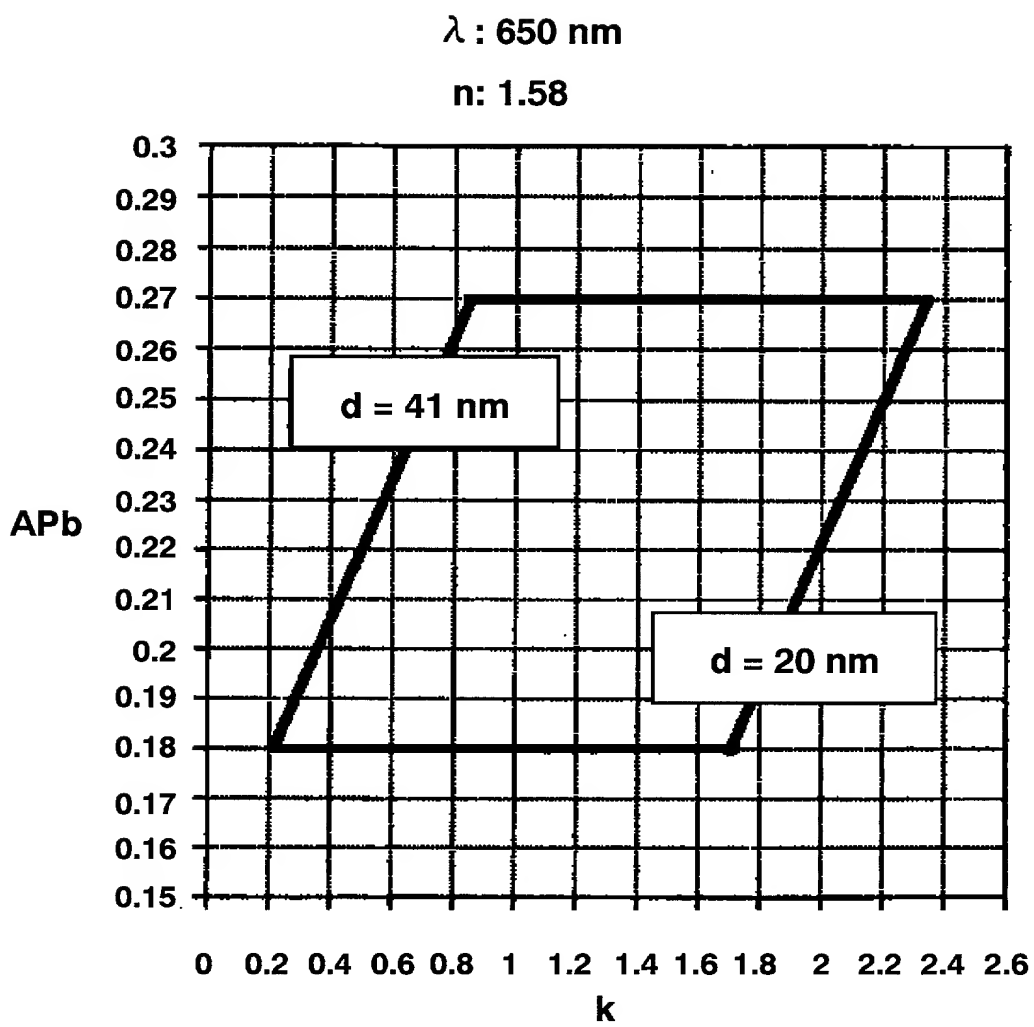


Fig. 11

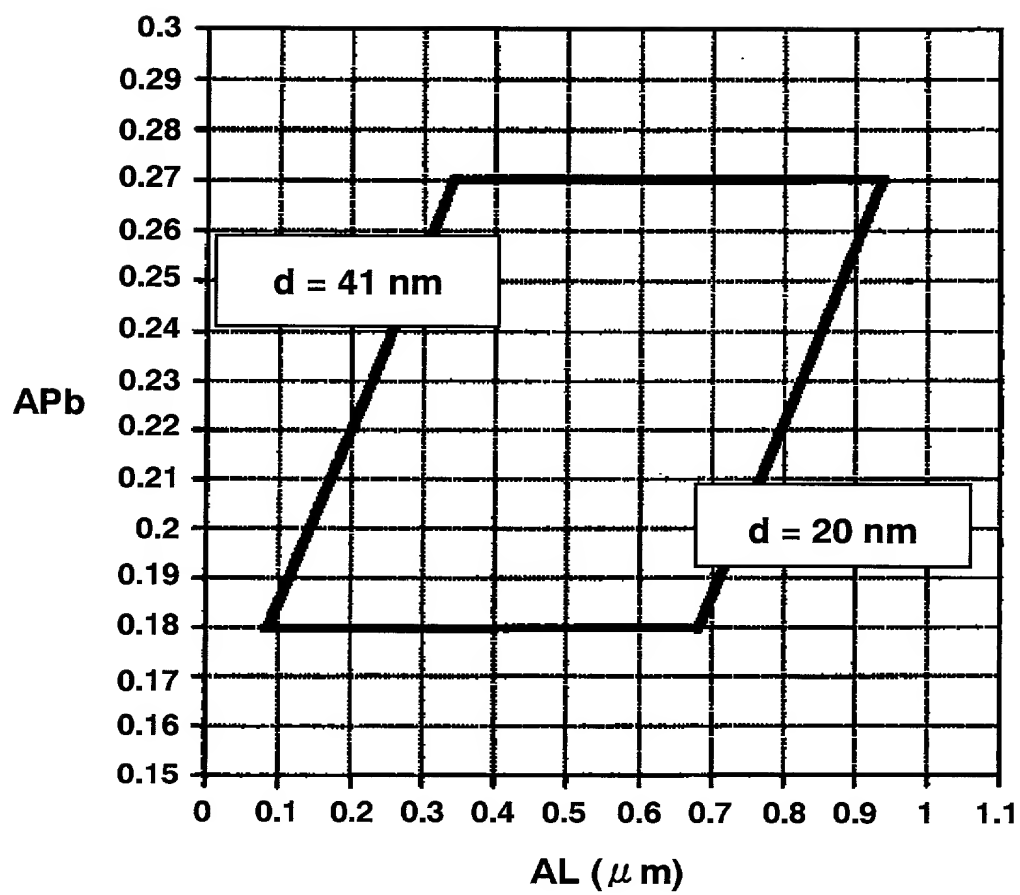
$\lambda : 650 \text{ nm}$  $n: 1.58$  $ML: 0.40 \text{ } \mu\text{m}$ 

Fig. 12

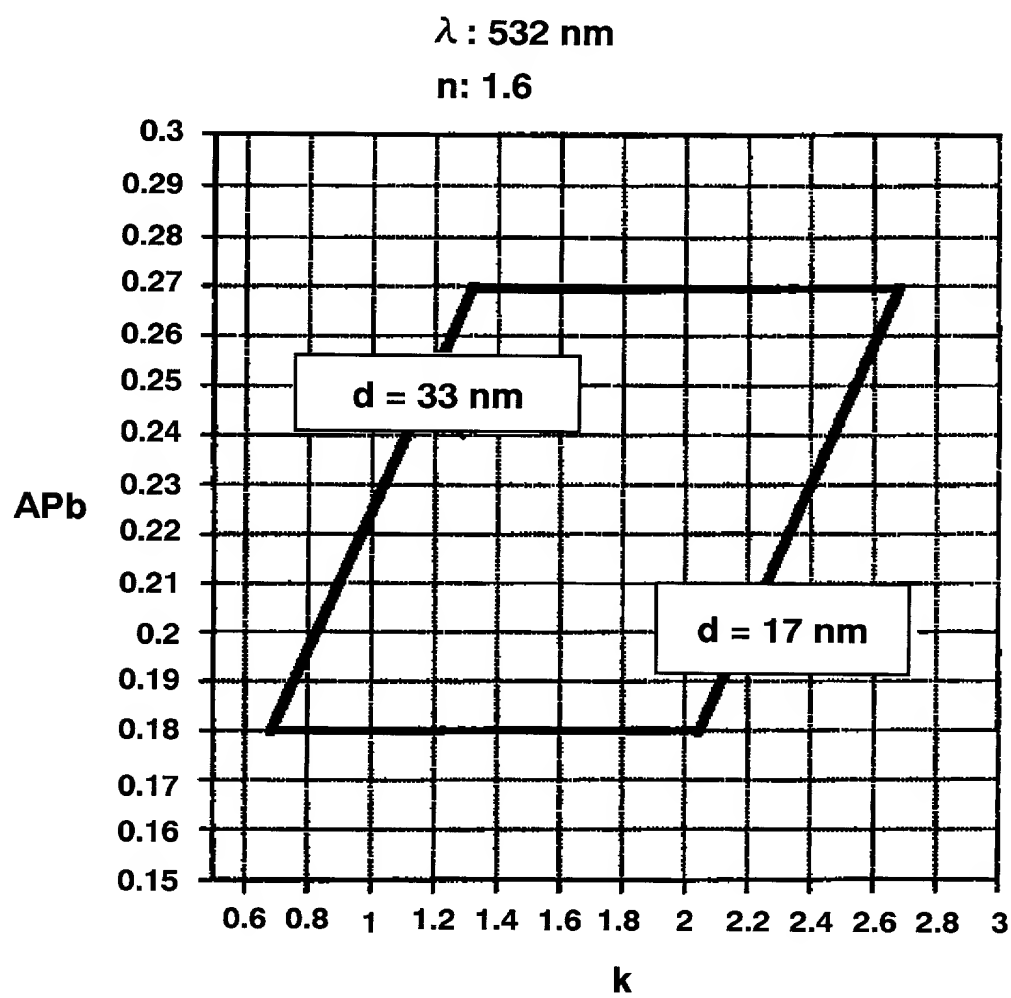


Fig. 13

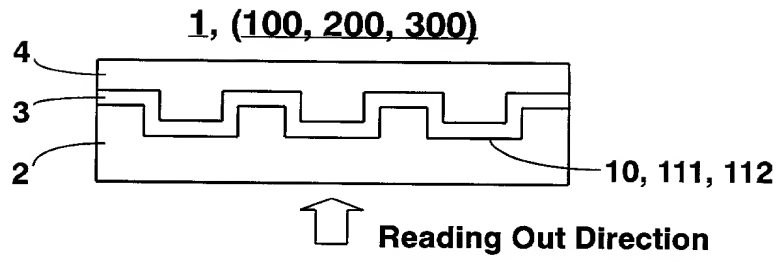


Fig. 14

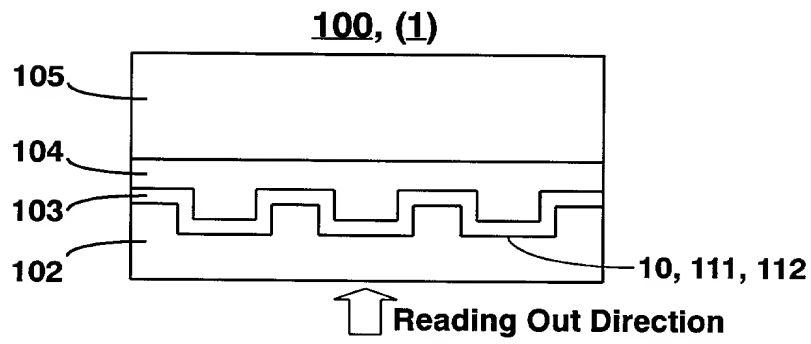


Fig. 15

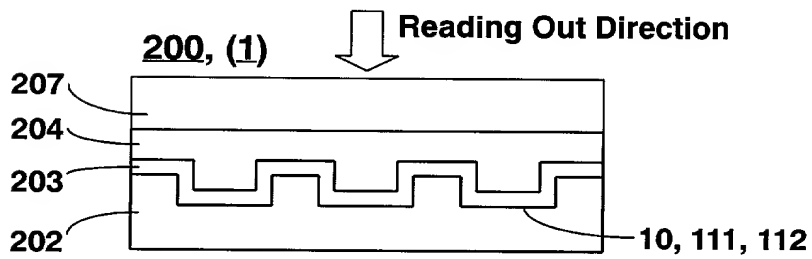


Fig. 16

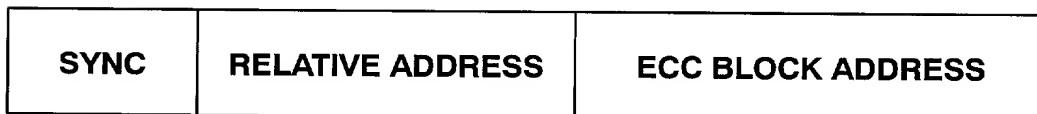


Fig. 17

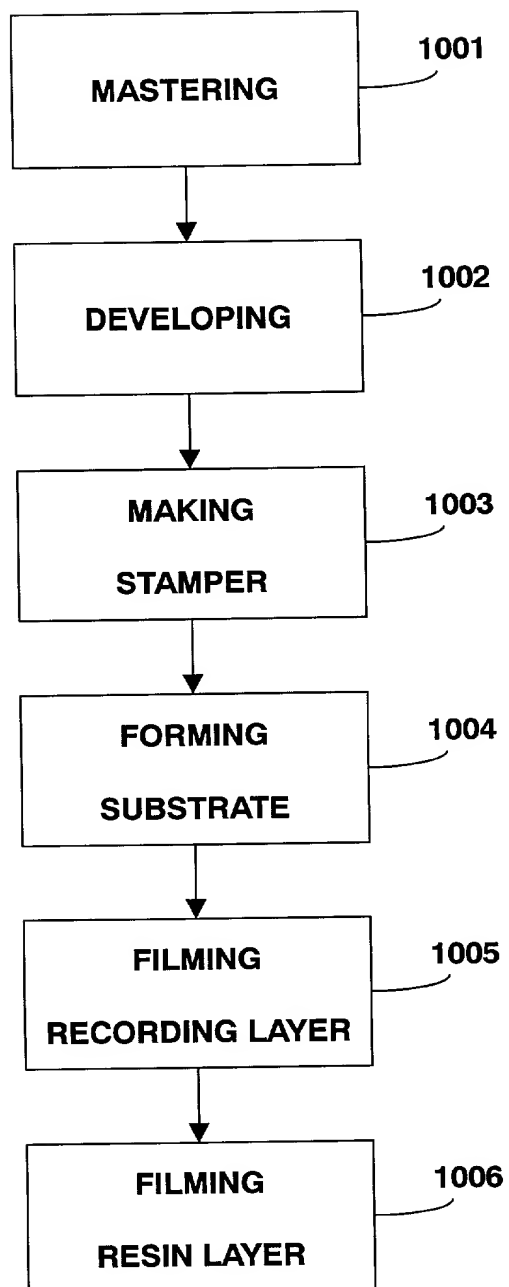


Fig. 18

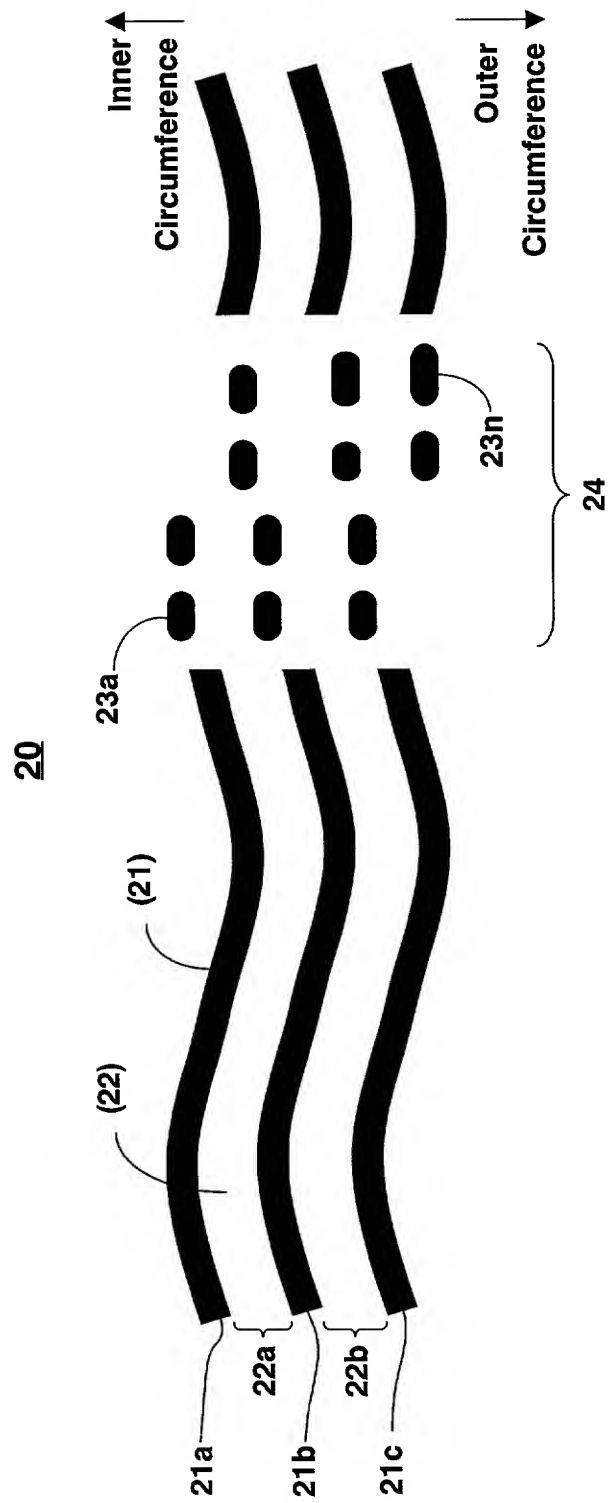


Fig. 19 Prior Art

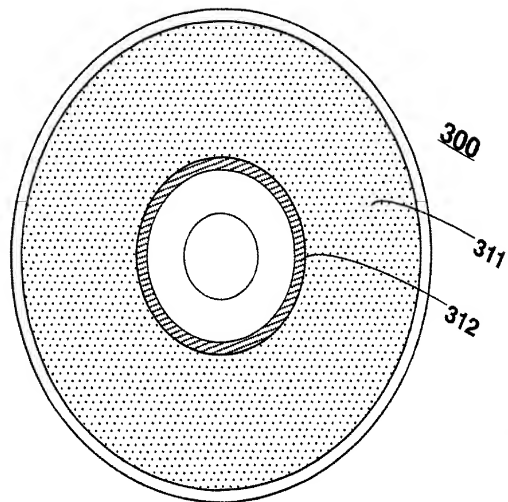


Fig. 20

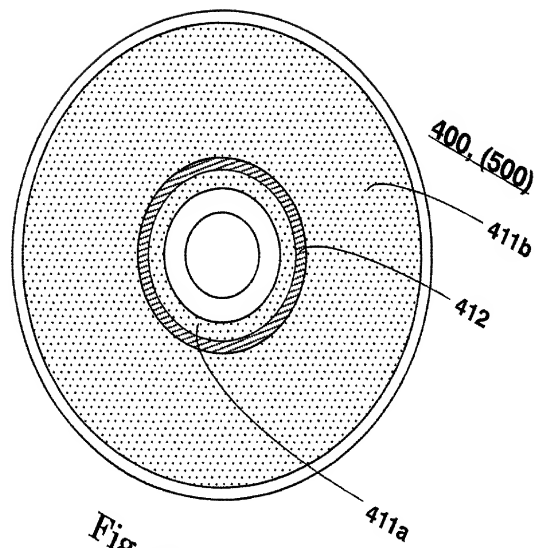


Fig. 25



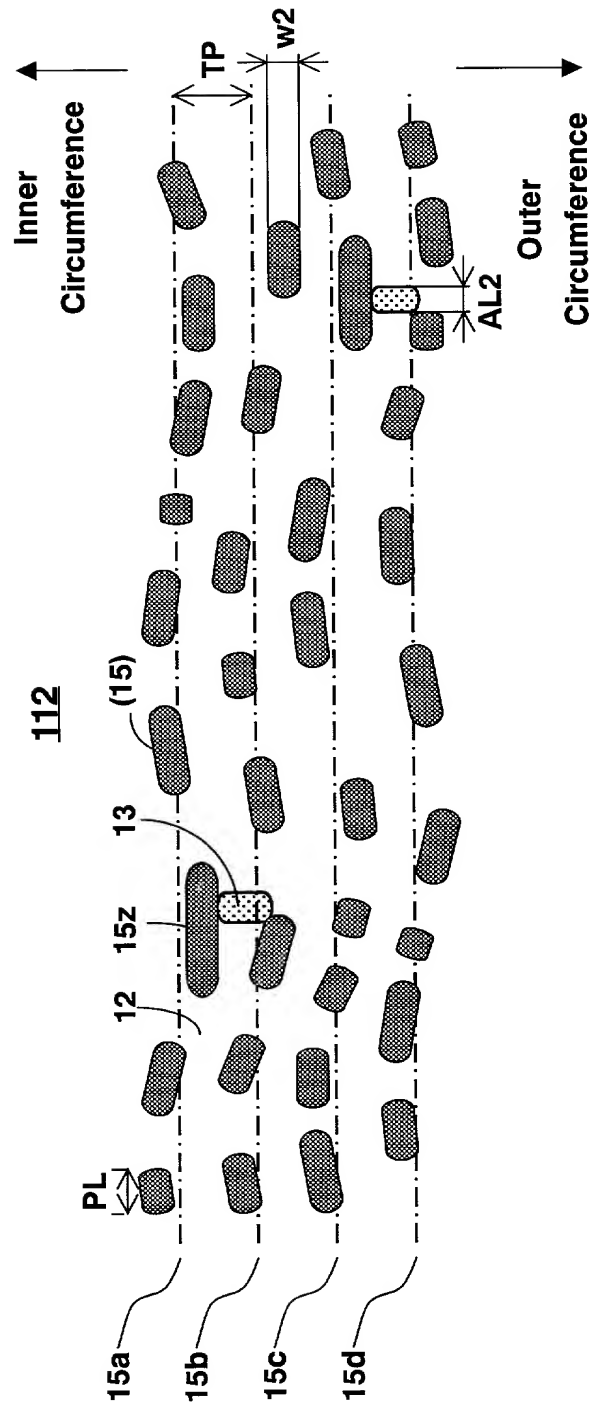


Fig. 21

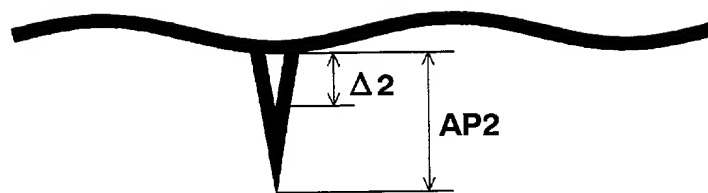


Fig. 22

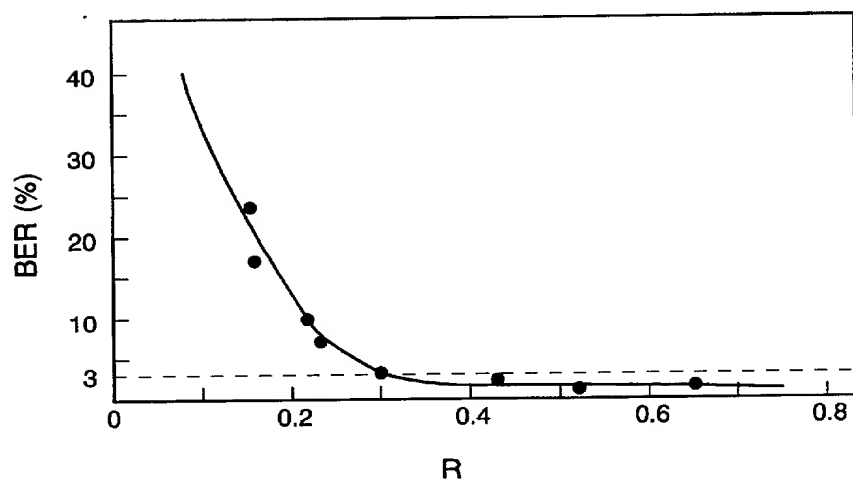


Fig. 23

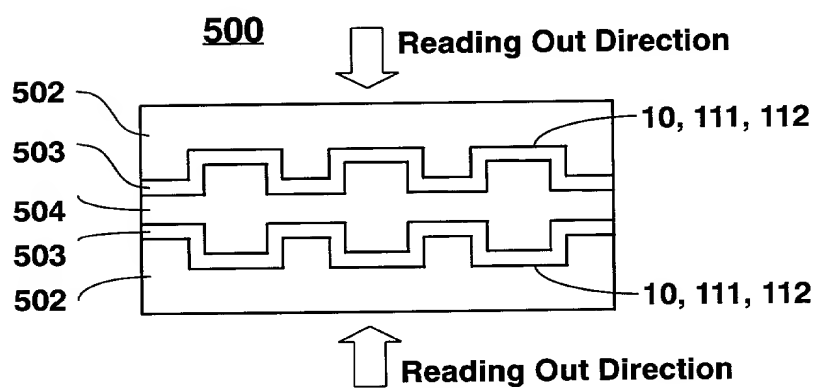


Fig. 24

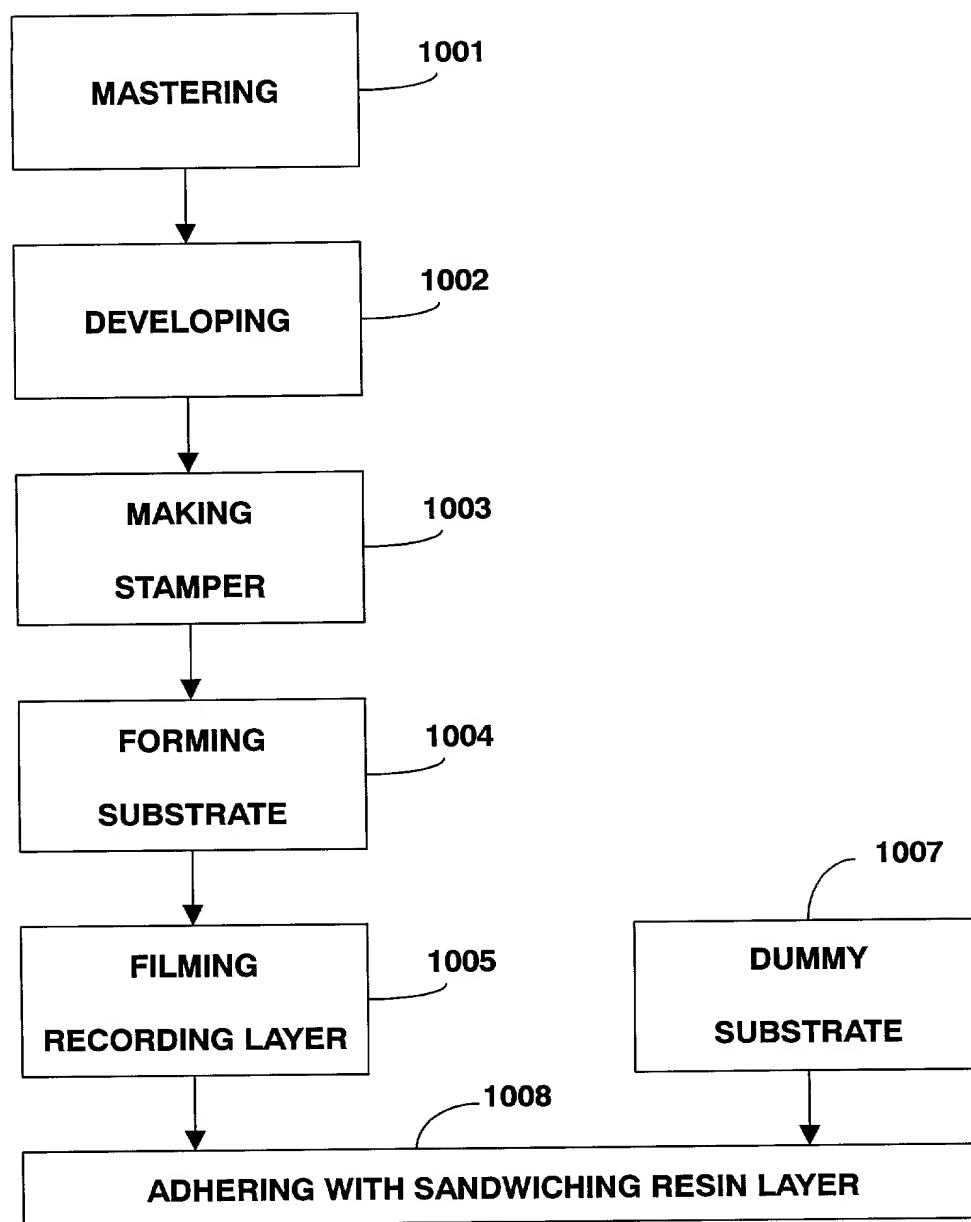


Fig. 26

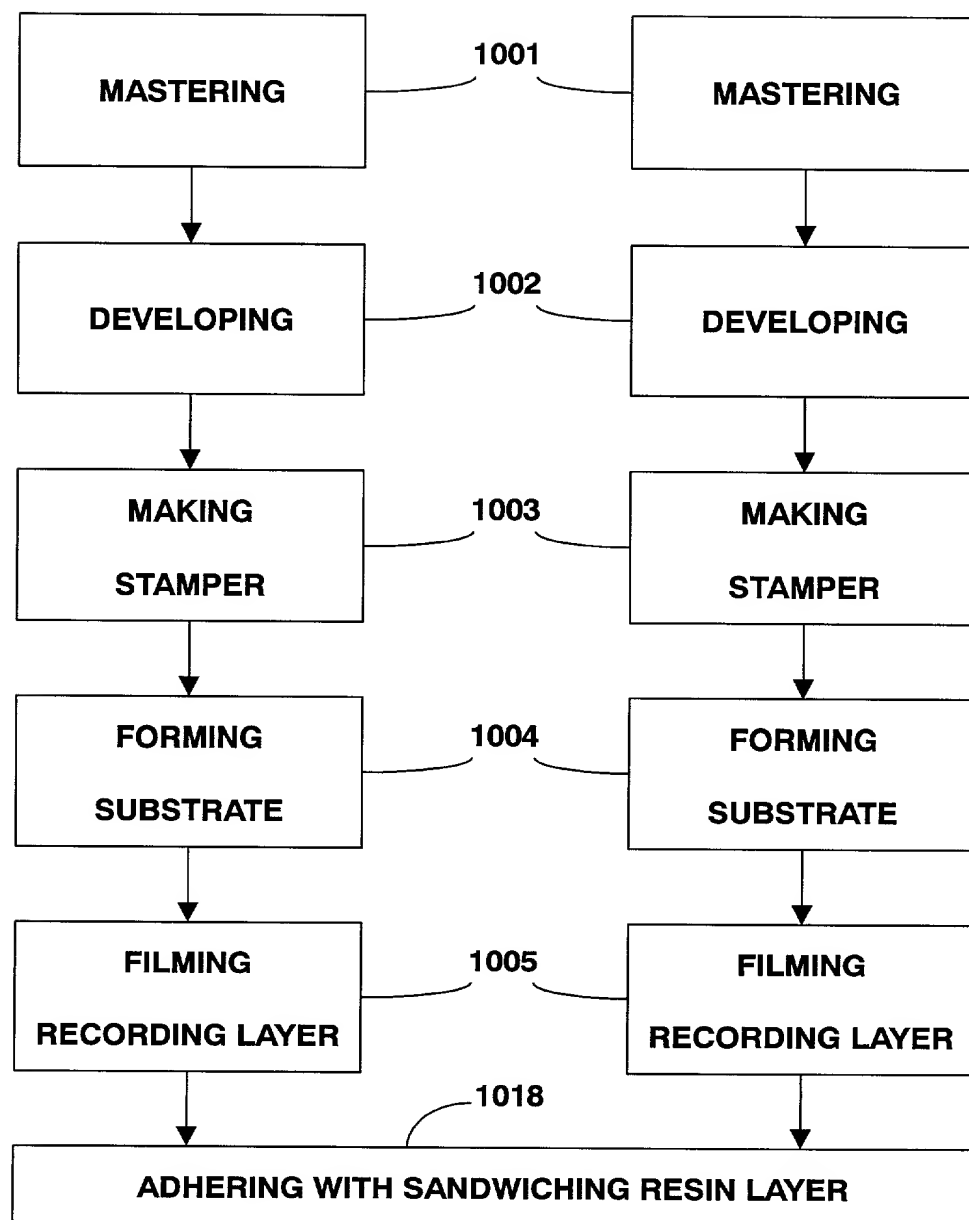


Fig. 27

## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下のとおり宣言します。

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の氏名が複数の場合）信じています。

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上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

- ☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
（該当する場合） \_\_\_\_\_ に訂正されました。

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## OPTICAL INFORMATION RECORDING

## MEDIUM, AND SUBSTRATE AND

## MANUFACTURING METHOD FOR THE

## OPTICAL INFORMATION RECORDING

## MEDIUM

the specification of which is attached hereto unless the following box is checked:

- ☐ was filed on \_\_\_\_\_  
as United States application Number or  
PCT international Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

## Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基く国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Application(s)

外国での先行出願

## Priority Not Claimed

優先権主張なし

11-334098/1999

(Number)

(番号)

Japan

(Country)

(国名)

25/November/1999

(Day/Month/Year Filed)

(出願年月日)

☐11-341821/1999

(Number)

(番号)

Japan

(Country)

(国名)

01/December/1999

(Day/Month/Year Filed)

(出願年月日)

☐

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(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基く権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application:

(Status: Patented, Pending, Abandoned)

(現況：特許特許済、係属中、放棄済)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Status: Patented, Pending, Abandoned)

(現況：特許特許済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書で私が行う表明が真実であり、かつ私の入手した情報と私の信じることに基く表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金又は拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## Japanese Language Declaration (日本語宣言書)

委任状： 私は、下記の発明者として、本出願に関する一切の手続きを米国特許商標局に対して遂行する弁理士または代理人として、下記の者を指名致します。(弁護士、又は代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Morris Liss, Registration No. 24,410

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(202)331-711

|             |   |
|-------------|---|
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|             | Tetsuya Kondo   |
| 発明者の署名      | Inventor's signature  |
| 日付          | Date  |
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|             | Japan   |
| 私書箱         | Post Office Address   |
|             | Same as residence   |
| 第二共同発明者     | Full name of second joint inventor, if any                            |
|             | Eiji Nakagawa   |
| 第二共同発明者の署名  | Second inventor's signature   |
| 日付          | Date  |
|             | <i>Eiji Nakagawa</i> 10/16/2000                                       |
| 住所          | Residence   |
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| 国籍          | Citizenship   |
|             | Japan   |
| 私書箱         | Post Office Address   |
|             | same as residence   |

(第三以降の共同発明者についても同様に記載し、署名をすること。)

(Supply similar information and signature for third and subsequent joint inventors.)

P000-19

|             |    |  |                    |
|-------------|----|--|--------------------|
| 第三共同発明者名    |    | Full name of third joint inventor, if any<br>Hirofumi Nagano                       |                    |
| 発明者の署名      | 日付 | Third inventor's signature<br><i>Hirofumi Nagano</i>                               | Date<br>10/16/2000 |
| 住所          |    | Residence<br>1422-5, Shinbashi-cho, Izumi-ku, Yokohama-shi,<br>Kanagawa-ken, Japan |                    |
| 国籍          |    | Citizenship<br>Japan   |                    |
| 私書箱         |    | Post Office Address<br>same as residence   |                    |
| 第二共同発明者     |    | Full name of fourth joint inventor, if any<br>Eiji Nakagawa                        |                    |
| 第二共同発明者の署名  | 日付 | Fourth inventor's signature  | Date               |
| 住所          |    | Residence  |                    |
| 国籍          |    | Citizenship  |                    |
| 私書箱         |    | Post Office Address  |                    |
| 唯一または第一発明者名 |    | Full name of fifth joint inventor, if any  |                    |
| 発明者の署名      | 日付 | Fifth inventor's signature   | Date               |
| 住所          |    | Residence  |                    |
| 国籍          |    | Citizenship  |                    |
| 私書箱         |    | Post Office Address  |                    |
| 第二共同発明者     |    | Full name of sixth joint inventor, if any  |                    |
| 第二共同発明者の署名  | 日付 | Sixth inventor's signature   | Date               |
| 住所          |    | Residence  |                    |
| 国籍          |    | Citizenship  |                    |
| 私書箱         |    | Post Office Address  |                    |